



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	PEDZIWIATR, JOSEPH ET AL.	EXAMINER:	IQBAL, KHAWAR
SERIAL NO.:	10/043,797	GROUP:	2686
FILED:	JANUARY 11, 2001	CASE NO.:	CE08185R
TITLED:	HIGH INTEGRITY RADIO ACCESS NETWORK CLIENT REALLOCATION IN A WIRELESS COMMUNICATION NETWORK		

Motorola, Inc.
Corporate Offices
1303 E. Algonquin Road
Schaumburg, IL 60196
July 5, 2005

Declaration Under 37 CFR §1.131

1. We, Joseph Pedziwiatr, Paul Steinberg, William S. Pierce, Richard James Malcolm, Daniel Francis Tell and Brian Jack Moore, are inventors of the present application and hereby make this declaration.
2. This declaration establishes the completion of the invention in this application in the United States, at a date prior to June 29, 2001 that is the effective date of United States Patent Application Publication No. 2003/0003919 A1 to Beming et al., which was cited by the Examiner.
3. The claimed subject matter of this patent application was the subject of a written disclosure prepared after conception and wherein the written disclosure was submitted as a Disclosure for Patent Committee to the assignee, Motorola, Inc. for the purpose of documenting, considering and maintaining invention disclosures. The Disclosure for Patent Committee is attached as Exhibit A.
4. The conception date of May 21, 1999, which is the earliest verifiable date an individual who is a non-innovator witnessed the claimed subject matter, is prior to June 29, 2001.
5. On or about June 24, 1999, Motorola, Inc. decided to pursue patent protection on the written disclosure previously submitted, and that thereafter, in due course, a patent application was prepared and filed in the United States Patent Office on January 11, 2001.

6. Prior to June 29, 2001 to January 11, 2001, we exercised due diligence to prepare and file the pending patent application. During this time period, we worked toward preparing the pending patent application for filing with the United States Patent and Trademark Office.

7. All of the above statements made of our own knowledge are true and all statement made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of this application or any patent issuing thereon.

Joseph Pedziwiatr

Date

Paul Steinberg

Date



William S. Pierce

July 5, 2005

Date

Richard James Malcolm

Date

Daniel Francis Tell

Date

Brian Jack Moore

Date



#4

MOTOROLA INC.
Cellular Infrastructure Group

Motorola Confidential Proprietary

DISCLOSURE FOR PATENT COMMITTEE

SUBMITTED PURSUANT TO EMPLOYMENT AGREEMENT

FOR INSTRUCTIONS FOR COMPLETION REFER TO
DISCLOSURE INSTRUCTION PROCEDURE

Inventor(s) will not fill in

Operation

DISCLOSURE NO. CE08185R DATE 4/27/99

Patent Committee Action

Inventor(s) Name(s)
Redziwiatr, Joseph Pierce, Bill
Steinberg, Paul Malcolm, Rich
Tele, Don
Moore, Dunn : Shuen, John
Spear, Steve

Inventor must fill in items 1 thru 13. Items 2 to 5 may require extra sheets.
BE SURE that all attachments are signed and dated by both the inventor(s) and witnesses.

1. Name of the invention. (Limit to ten words.)
Seamless High Integrity Radio Access Client Handoff in a Wireless Network
2. State the problem(s) solved by the invention.
See attached.
3. Describe the invention, including its operation, purpose and environment. (Use separate sheets as required).
See attached.
4. List the closest known technology (attach article, patent, catalog sheet or other documentation).
See attached.
5. Improvement(s) over known technology.
See attached.
6. What new elements (e.g. components, circuits, process steps) or combination of known elements or software algorithm produced the improvement?
See attached.
7. What are the potential applications for use of this invention?
Anyone deploying CDMA systems (Lucent, LG, Samsung, Nortel, etc.)
8. Conception date? _____ (Attach earliest log sheets, drawings, etc., to support dates).
9. To whom did you first disclose this invention? Name: _____ Date: _____
10. Date the device was first built and tested. _____
Present location of the device? Not currently implemented.

DETERMINATION OF LEGAL INVENTORSHIP WILL BE BY THE PATENT DEPARTMENT.

Inventor's signature (IMPORTANT - YOU MUST USE YOUR FULL NAME) - NO INITIALS

11. Inventor's Full Name: (Type)	Signature	Date	Social Security No.		
<u>Joseph Pedziwiatr</u>	<u>Joseph Pedziwiatr</u>	<u>4/21/99</u>	<u>334-60-2270</u>		
Home Address: Street	City	State	Country	Zip Code	
<u>640 S. 7th</u>	<u>La Grange</u>	<u>IL</u>	<u>USA</u>	<u>60525</u>	
Citizen of (i.e. U.S., Germany, etc.)	Dept. No. 847- Phone	Room No.	Employee Status		
<u>USA</u>	<u>BC573 63 2-5098</u>	<u>IL75/2</u>	<input checked="" type="checkbox"/> Permanent <input type="checkbox"/> Contractor		
Inventor's Immediate Supervisor	Dept. No.	Phone	Social Security No.		
<u>Paul Steinberg</u>	<u>BC573</u>	<u>2-5867</u>	<u>10025887</u>		

called Joe.

12. Inventor's Full Name: (Type)

Paul Steinberg

Signature

Date

Social Security No.

Home Address: Street

City

State

Country

Zip Code

Citizen of (i.e. U.S., Germany, etc.)

Dept. No.

Phone

Room No.

Employee Status

☐ Permanent☐ Contractor

Inventor's Immediate Supervisor

Dept. No.

Phone

Social Security No.

13. Inventor's Full Name: (Type)

William S. Pierce

Signature

Date

Social Security No.

Home Address: Street

City

State

Country

Zip Code

Citizen of (i.e. U.S., Germany, etc.)

Dept. No.

Phone

Room No.

Employee Status

☒ Permanent☐ Contractor

Inventor's Immediate Supervisor

Dept. No.

Phone

Social Security No.

Witness signatures (TWO WITNESSES ARE REQUIRED). Witness must sign and date this form and all attachments.
THE WITNESSES IN SIGNING THIS FORM ATTEST TO THE FACT THAT THEY UNDERSTAND THE INVENTION.

14. Witness Name: (Type)

Larry D. JVEC

Signature

Date

Phone

15. Witness Name: (Type)

Donald A. Wicks

Signature

Date

Phone

Items 16 thru 24 are to be filled in by the ENGINEERING/PRODUCT MANAGER or above.

THE MANAGER IN SIGNING THIS FORM ATTESTS TO THE FACT THAT HE UNDERSTANDS THE INVENTION.

16. What product will this invention be used in? (No code names -- use brief description if necessary)

Aerolon applications.

17. When (was) (will) the first offer for sale of a product incorporating this invention (be) made?

Date: _____

18. When is the estimated shipping date?

19. When (was) (will) the first disclosure outside of Motorola (be) made? How and to whom? Nondisclosure agreement signed? State title and date of publication, if any.

20. What is the market for products incorporating this invention? Be specific and quantitative.

GSM/CDMA/UMTS Cellular Systems, GSM/CDMA/UMTS Enterprise Wireless Systems
GSM/CDMA/UMTS Wireless Local Loop Systems

21. Who are the potential competitors? What is the possibility this invention will be used by competitors? Which ones?

Lucent, Samsung, LG, Nortel, Cisco, Ericsson, Nokia

22. Did this invention result from work on a development Contract? (YES) (NO) Contract No. _____

Who was the contracting party? _____

23. Discuss the business impact that this invention will have on Motorola. Be specific and quantitative.

This invention provides a means to seamlessly move the bearer and control client functions from one Radio Access Network to another. The method uses bridging functions within the Core and RAN networks allowing for simultaneous high integrity connections between the existing a future RAN components through the move operation.

24. Manager's Name (Type)

Signature

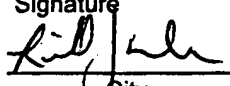
Date

Dept. No.

Phone

DETERMINATION OF LEGAL INVENTORSHIP WILL BE MADE BY THE PATENT DEPARTMENT.

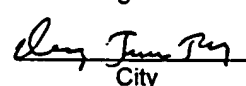
Inventor's signature (IMPORTANT -YOU MUST USE YOUR FULL NAME)--NO INITIALS--

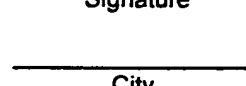
11. Inventor's Full Name: Richard James Malcolm	Signature 	Date 4/26/98 5-21-98	Social Security No. & Commerce ID 351-50-4876 10040345	
Home Address: Street 625 Paxton Place	City Carol Stream	State IL	Country USA	Zip Code 60188
Citizen of (i.e. U.S., Germany, etc.) US	Dept. No. BC568	Phone 2-6063	Mail drop & Post no. IL27 3-3c	Employee Status Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>
Inventor's Immediate Supervisor Dan Tell	Dept. No. BD996	Phone 2-5301	Social Security No. & Commerce ID 350-42-1127	10039680

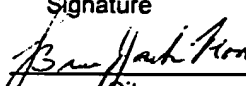
Motorola Confidential Proprietary Upon Completion

Page 2--Disclosure No.

Motorola Confidential Proprietary Upon Completion

12. Inventor's Full Name: (Type) Daniel Francis Tell	Signature 	Date 5-2-98	Social Security No. & Commerce ID 350-42-1127 10039680	
Home Address: Street 1533 Bowling Green	City Lake Forest	State IL	Country USA	Zip Code 60045
Citizen of (i.e. U.S., Germany, etc.) US	Dept. No. BD996	Phone 2-5301	Mail drop & Post No. IL27 3-5C	Employee Status Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>
Inventor's Immediate Supervisor John Thode	Dept. No. BD908	Phone 2-5322	Social Security No. & Commerce ID	10045518

13. Inventor's Full Name: (Type) Paul Daniel Steinberg	Signature 	Date 5-20-98	Social Security No. & Commerce ID 323-42-5257	
Home Address: Street 1200 Keim Trail	City Bartlett	State IL	Country USA	Zip Code 60103
Citizen of (i.e. U.S., Germany, etc.) USA	Dept. No. BC573	Phone 2-5867	Mail drop & Post no. IL27 3N9	Employee Status Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>
Inventor's Immediate Supervisor John Cipolla	Dept. No. BC573	Phone 2-5283	Social Security No. & Commerce ID	10041815

Inventor's Full Name: (Type) Brian Jack Moore	Signature 	Date 5/20/98	Social Security No. & Commerce ID 336-42-6399	
Home Address: Street 718 Bon Aire Drive	City Palatine	State IL	Country USA	Zip Code 60067
Citizen of (i.e. U.S., Germany, etc.) USA	Dept. No. BD537	Phone 2-5266	Mail drop & Post no. IL27 AR3223	Employee Status Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>
Inventor's Immediate Supervisor Don Benkeser	Dept. No. BD537	Phone 5-0137	Social Security No. & Commerce ID	316-54-3649

Inventor's Full Name: (Type)	Signature	Date	Social Security No. & Commerce ID	
John M. Sauer	<i>John M. Sauer</i>	5/20/55	312666792	

Home Address: Street	City	State	Country	Zip Code
1066 Augustana Drive	Naperville	IL	USA	60565

Citizen of (i.e. U.S., Germany, etc.)	Dept. No.	Phone	Mail drop & Post no.	Employee Status
USA	BC588	2-5707	IL-27	

Inventor's Immediate Supervisor	Dept. No.	Phone	Social Security No. & Commerce ID		Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>
Bill Payne	BC279	5-5154	510-46-2151		
Inventor's Full Name: (Type)	Signature	Date	Social Security No. & Commerce ID		
Stephen Lee Spear			344-38-0983		


Home Address: Street	City	State	Country	Zip Code
25 Williamsburg	Skokie	IL	USA	60203

Citizen of (i.e. U.S., Germany, etc.)	Dept. No.	Phone	Mail drop & Post no.	Employee Status
USA	BC597	2-5251	AR3205	

Inventor's Immediate Supervisor	Dept. No.	Phone	Social Security No. & Commerce ID		Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>
Jerry Campbell	BC597	2-2162	510-46-2151		

DETERMINATION OF LEGAL INVENTORSHIP WILL BE MADE BY THE PATENT DEPARTMENT.

Inventor's signature (IMPORTANT --YOU MUST USE YOUR FULL NAME)--NO INITIALS--

11. Inventor's Full Name: Richard E. White Signature  Date 05/20/99 Social Security No. & Commerce ID 178-44-0863

Home Address: Street 980 Milford St. City Cary State IL Country USA Zip Code 60013

Citizen of (i.e. U.S., Germany, etc.) USA Dept. No. BC279 Phone 5-0235 Mail drop & Post no. IL27 2A8 Employee Status

Inventor's Immediate Supervisor Bill Payne Dept. No. BC279 Phone 5-5155 Social Security No. & Commerce ID 510-46-2151 Permanent ☒ Contractor

Motorola Confidential Proprietary Upon Completion

Page 2--Disclosure No. _____ Motorola Confidential Proprietary Upon Completion



Disclosure for Patent Committee

1. Name of the invention

Seamless High Integrity Radio Access Client Handoff in a Wireless Network

2. State the problem(s) solved by the invention

When a Mobile Termination device accesses the network requesting a desired service, a set of resources and path connections (Control and Bearer) are established within the Core and RAN network to support the requested service. This initial call configuration is assumed to be the optimum call configuration, given the state of the networks at the time of access. But, the dynamics of the RF environment along the mobility of the Mobile Terminating device, this initial call configuration may quickly become sub-optimum.

Functions within the RAN exist to optimize the RF paths. These RF path optimizations result in the establishment or removal of RAN based resources along with their associated control and bearer paths. As the Mobile Terminating device moves throughout the system, the crossing of RAN and CORE boundaries is inevitable. RAN boundary crossings are addressed within the Aerolon network via RAN to RAN interfaces. These interfaces allow Mobile Termination Device services to be provided across the boundaries. In general these interfaces allow for the allocation of BTS and RF resources along with a path for control messaging and bearer traffic delivery. But the support of calls across these interfaces may become sub-optimum over time. The control and bearer traffic paths may be over extended thus introducing unacceptable control latency and bearer traffic delays (including differential delays).

Typically, Radio Access Call Control and Bearer Path Management is centralized at a point within the RAN, referred to in general terms further as the RAN Session Client (RSC). (In particular to CDMA (Wide Band CDMA) the Selector Distribution Unit (SDU) and Radio Network Control Servers (RNCS) are instantiations of an RSC. Critical in maintaining an optimum call configuration is the location of the RSC. The RSC placement is critical, since the RSC serves as the termination point for the Core and BTS Bearer Path along with RAN Call Control. Locating the RSC to minimize bearer traffic delays and control latency is a crucial aspect of an optimum call configuration.

It is therefore beneficial from a Call Quality perspective to transfer the RSC from one physical point to another within the RAN Network.

Moving the physical location of the RSC is currently supported within some networks via intrusive manners. These procedure generally break and re-establish both Core and Radio connections, such as CDMA Hard Handoff. This not only impacts the quality of a given call but requires undesired interaction between the Core Network and MS on RAN boundary limitations. In addition, any modification to the Core and MS connections brings the potential for a failed connection.

Inventor [Signature] Date 5/16/99 Witness [Signature] Date 20 May 99

Inventor [Signature] Date 5-20-99 Witness [Signature] Date 20 May 99

Inventor [Signature] Date 5/14/99

[Signature] 5/21/99
[Signature] 5/20/99

Disclosure for Patent Committee

April 29, 1999

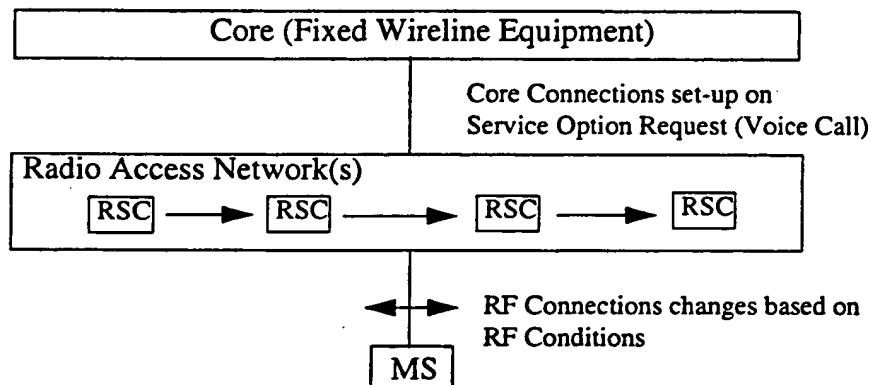
[Signature] 5/21/99 [Signature] 5/21/99
[Signature] 5/20/99

A method needs to be provided which provides a seamless RSC reallocation and which preserves the integrity of the call and connects.

3. Describe the invention, including its operation, purpose and environment.

The following invention specifies a method of moving the RSC within and across RANs in a seamless manner with high integrity. Figure 1, "Seamless RSC Handoff Illustration," on page 2 depicts the objective of this invention. The figure highlights the Fixed Equipment in the Core network and MS's connections unaffected by RSC reallocation within the Radio Access Network(s).

FIGURE 1. Seamless RSC Handoff Illustration



In order provide for a seamless RSC handoff, two parallel paths from the BTS(s) and Core network are created. These connections involve two RSCs with the parallel paths supported via a RANS and Core "Y" bridging function. The "Y" bridging functions serve to provide for un-interrupted Bearer and Control for a given call session through an RSC handoff.

Figure 2, "System Bridging Functionality," on page 3 illustrates a Seamless High Integrity RSC handoff. The execution of such a procedure required the introduction of multiple bridging functions. The first bridging function, identified as the Core "Y" (a.k.a., Relay Client in Aerolon) provides a fixed termination point for fixed core based equipment (e.g., Circuit Gateway). Typically, these paths are formed at initial system access of the MSs. The Core "Y" provides bridging functions between the RSCs during the transitioning process. Further, the bridging function will allow for the integrity of the connection between the Core and new RSC prior to the bearer and control handoff within the RSC.

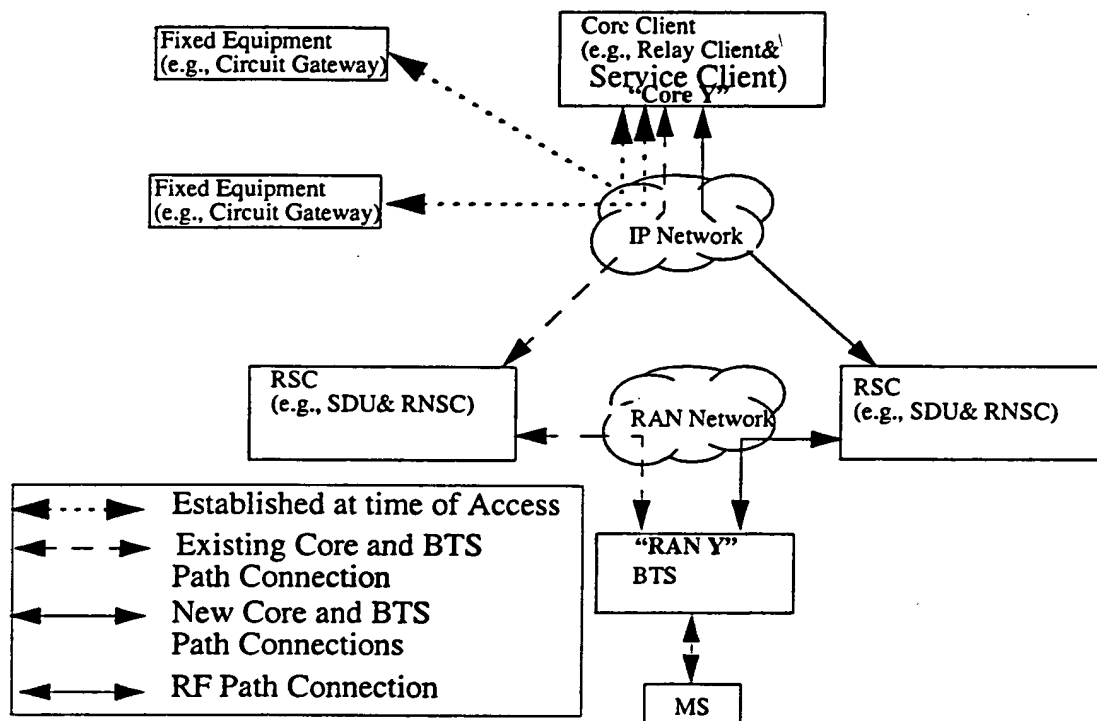
A RAN "Y" function is also required to shield the MS from the RSC transition. This RAN "Y" function supports the bridging and selection of control and bearer traffic from multiple RSCs. As with the Core "Y" functionality, the bridging function allows for the integrity check of the connections between the new RSC and BTS prior to the bearer and control handoff within the RSC. Typically, the multiple RAN "Y" connections will be established, since multiple BTSs are involved in a given call (CDMA Soft Handoff).

Inventor William D. Pin Date 5/10/99 Witness Lawrence D. Pin Date 20 May 99
 Inventor Benjamin Date 5-2-99 Witness David M. Pin Date 20 May 99
 Inventor P.D. Pin Date 5/20/99
W.D. Pin 5/21/99
Benjamin 5/20/99
 Disclosure for Patent Committee April 29, 1999
William D. Pin 5/20/99
Benjamin 5/20/99
David M. Pin 5/21/99

In most cases (e.g., CDMA), handing off of the RSCs involved the preservation of critical data of the Core and MSs. As an example, in a CDMA RSC handoff, the State of the MSs RF Layer 2 State information must be preserved. The relaying of this information between the two RSCs along with the coordination of the handoff would occur via either the Core "Y" or RAN "Y" function.

When all required information is obtained by the new RSC and Core and BTS paths are validated, the new RSC will take-over the control and bearer processing for the call. The old RSC connection will remain providing a graceful fallback in the case of a RSC handoff failure.

FIGURE 2. System Bridging Functionality



The following set of illustrations depict the "Seamless High Integrity Radio Access Client Hand-off" in the context of CDMA.

Figure 3, "Intra-Core Streamline T=0," on page 5 illustrates a CDMA Call involved in an Inter-RAN soft handoff. CORE-1 and RAN-1 support the termination of the Core End-Points for a given Voice Call (Note multiple Core end-point may be involved). The Core network supports the Relay Client and the Service Client. Within RAN-1, the RNCS-1-1 supports the call control along with the selection function. The BTSs involved in the call are not contained within RAN-1 but are supported via bearer and control backhaul through the Inter-Vendor Soft Handoff (IV-SHO) inter-connect. At this time, a SDU/RNCS handoff (RSC Handoff) is desired.

Figure 4, "Intra-Core Streamline T=1-Establish RAN Connections," on page 6 illustrates the establishment of the SDU to BTS connection. An SDU is assigned in RAN-2 along with the BTS

Inventor Will D. Kim Date 5/16/99 Witness [Signature] Date 20 May 99
 Inventor [Signature] Date 5-2-99 Witness [Signature] Date 20 May 99
 Inventor [Signature] Date 5/2/99
[Signature] 5/20/99

[Signature] 5/16/99 [Signature] 5/16/99

connections to the current serving BTSs. This function requires the RAN "Y" in the BTS. Continuation of the procedure will not occur until the new SDU to BTS(s) path integrity is assured. RAN to RAN control communications are used to initiate and coordinate the new RAN configuration.

Figure 5, "Intra-Core Streamline T=2-Establish Core Connections," on page 7 illustrates the establishment of the Core Network. An interaction will take place between the Core networks to establish a Path to the new SDU. The Relay Client establishes a Core "Y" bridging functions allowing for the new SDU to verify its path connection integrity with the Relay Client. Continuation of the procedure will not occur until the new SDU to Relay Client path integrity is assured. At this time, the Core "Y" and RAN "Y" connections are established and the handing off of control and bearer management can be performed.

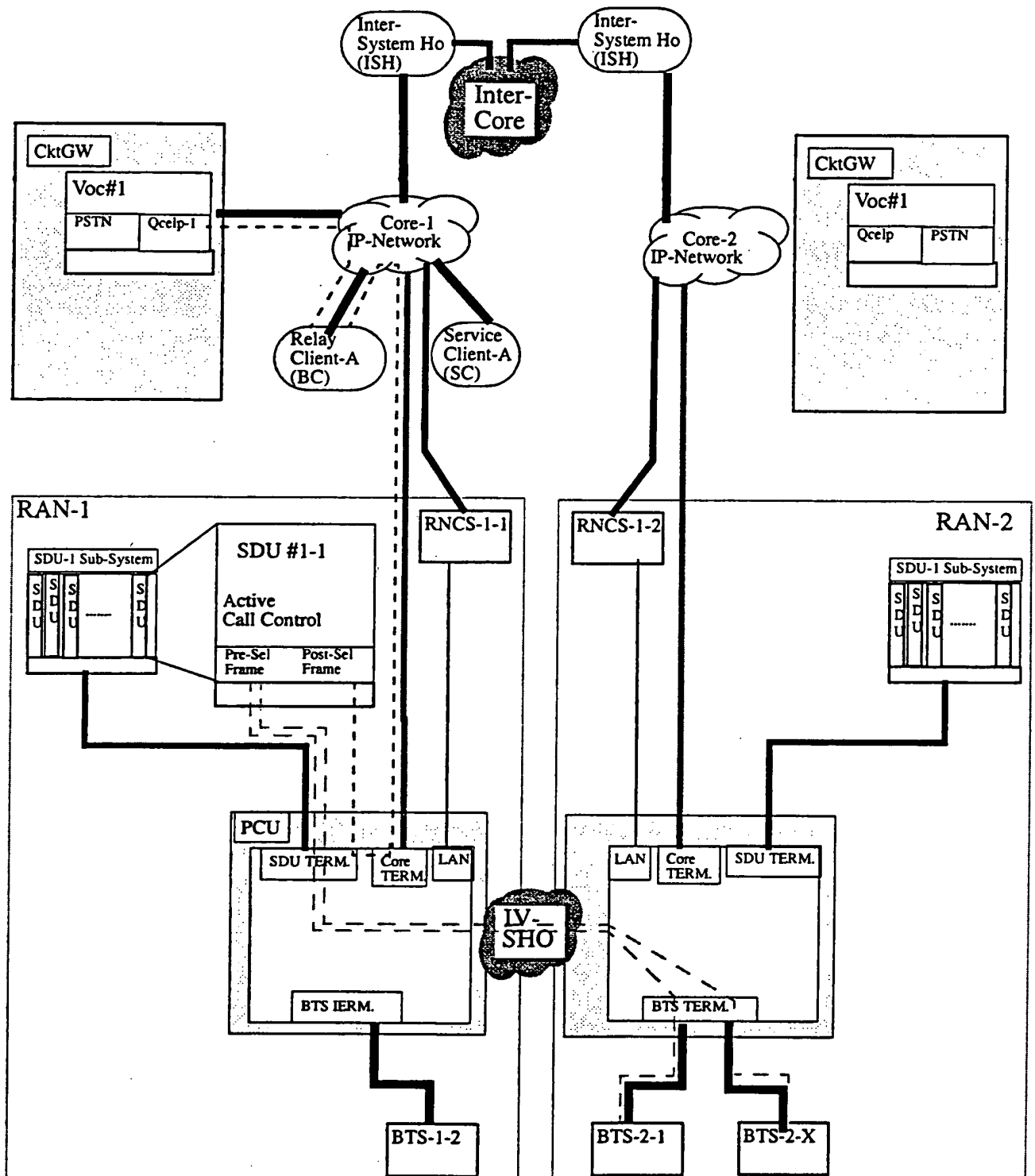
Figure 6, "Intra-Core Streamline T=3-Execute Handoffs," on page 8 illustrates the interaction between the RANs to obtain control information. It is expected to minimize latency that the required call data would be exchanged via the bearer path. The used of either the Core "Y" or RAN "Y" provides a channel between the two SDUs for control data exchange. Once the required data is obtained by the new SDU, the new SDU will take control of the call. The old SDU and its associated connections will remain as a fallback configuration.

Figure 7, "Intra-Core Streamline T=4-Tear-Down," on page 9 illustrates the teardown of the initial connections. This would be performed on the successful completion of the SDU handoff.

Inventor William D. Lee Date 5/20/99 Witness Joseph Padgett Date 20 May 99
Inventor James J. Kim Date 5-20-99 Witness Joseph Padgett Date 20 May 99
Inventor Y. D. Lee Date 5/2/99
KL Jones 5/2/99
James J. Kim 5/20/99
Disclosure for Patent Committee April 29, 1999
James J. Kim 5/21/99 Joseph Padgett 5/21/99
Shankar 5/21/99

FIGURE 3.

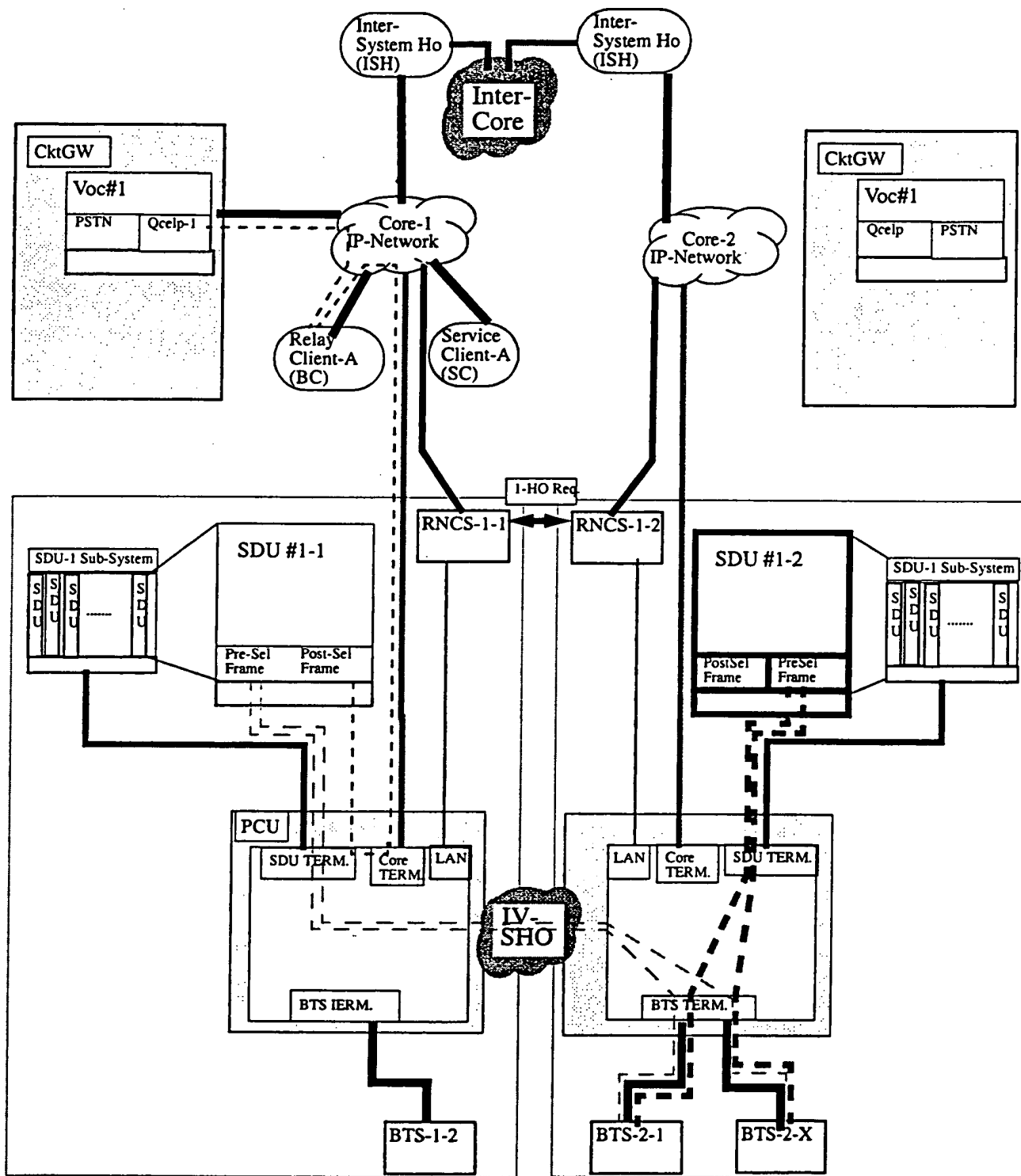
Intra-Core Streamline T=0



Inventor William S. P. Date 3/20/99 Witness Tom O. Date 20 May 99
 Inventor David J. M. Date 5/20/99 Witness Donald M. W. Date 20 May 99
 Inventor P. J. D. Date 5/21/99
 Disclosure for Patent Committee April 29, 1999
 5/21/99 5/20/99 5/21/99
 5/20/99 5/21/99

FIGURE 4.

Intra-Core Streamline T=1-Establish RAN Connections



Inventor John D. Bue Date 5/20/99 Witness Long An Date 20 May 99
 Inventor John D. Bue Date 5/20/99 Witness David W. Bue Date 20 May 99
 Inventor John D. Bue Date 5/20/99
 72 July
 5/20/99
 5/20/99
 Disclosure for Patent Committee April 29, 1999
 5/20/99
 5/20/99
 5/20/99

Intra-Core Streamline T-2-Establish Core Connections

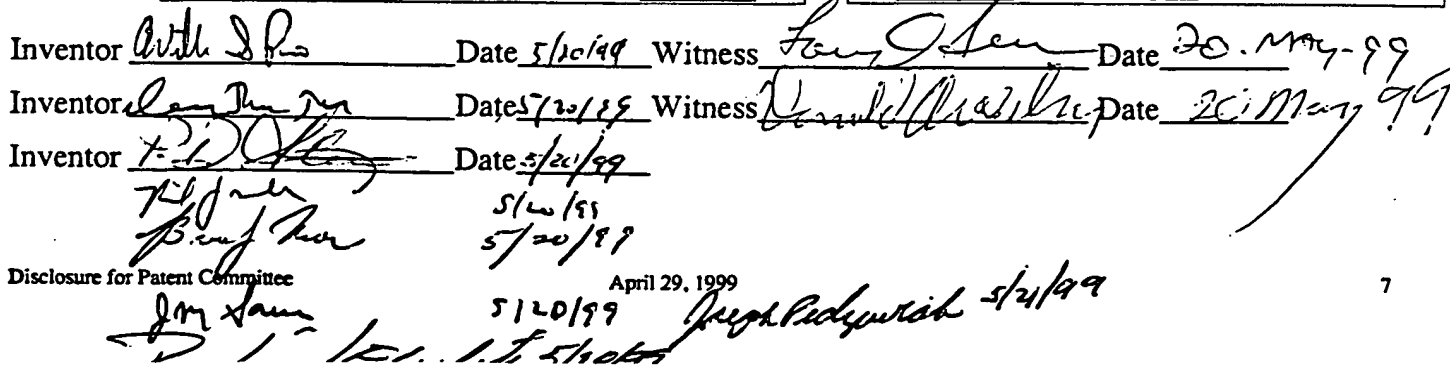
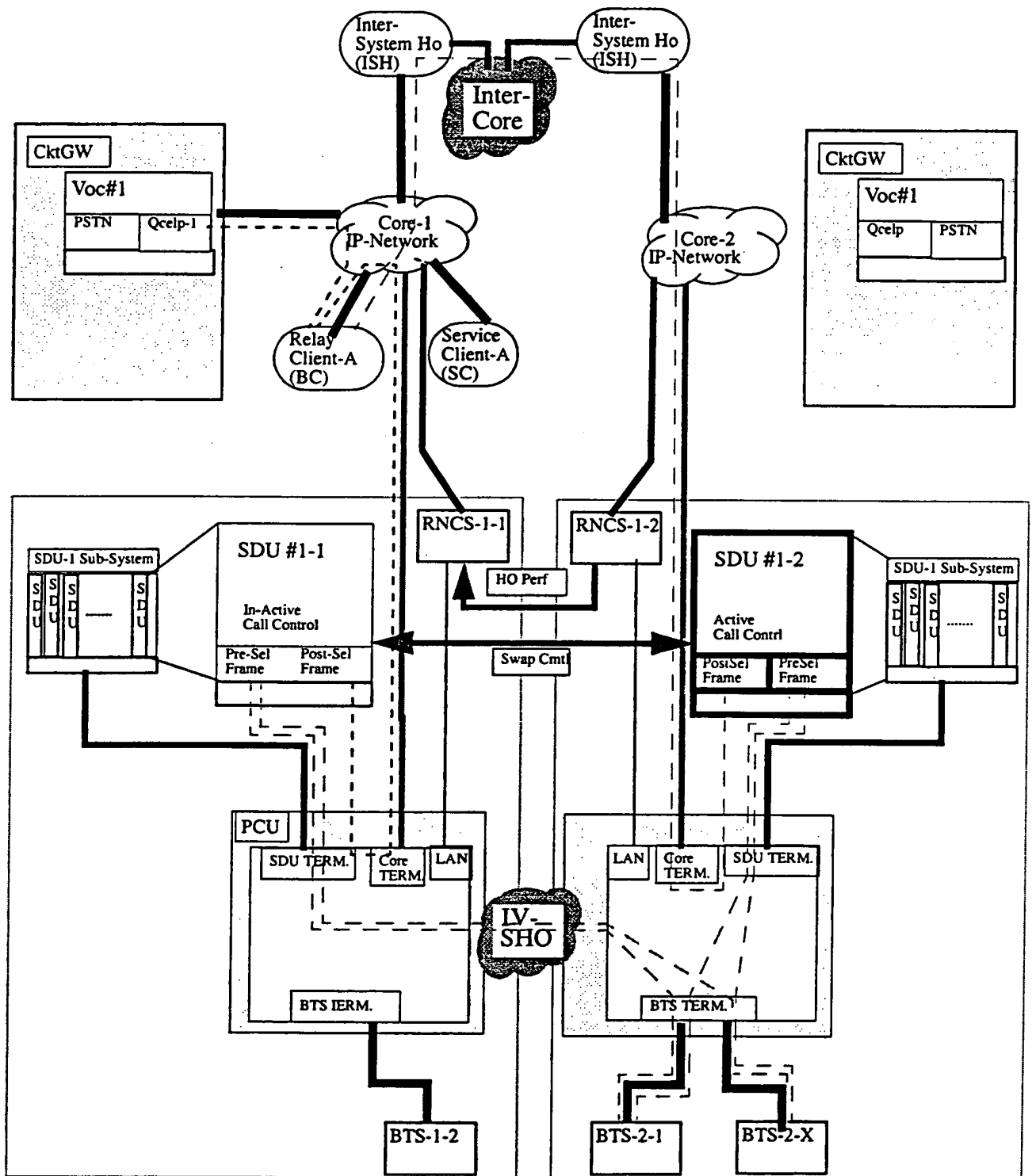


FIGURE 6.

Intra-Core Streamline T=3-Execute Handoffs



Inventor Willie S. B. Date 5/20/99 Witness Lee S. B. Date 20 May-99

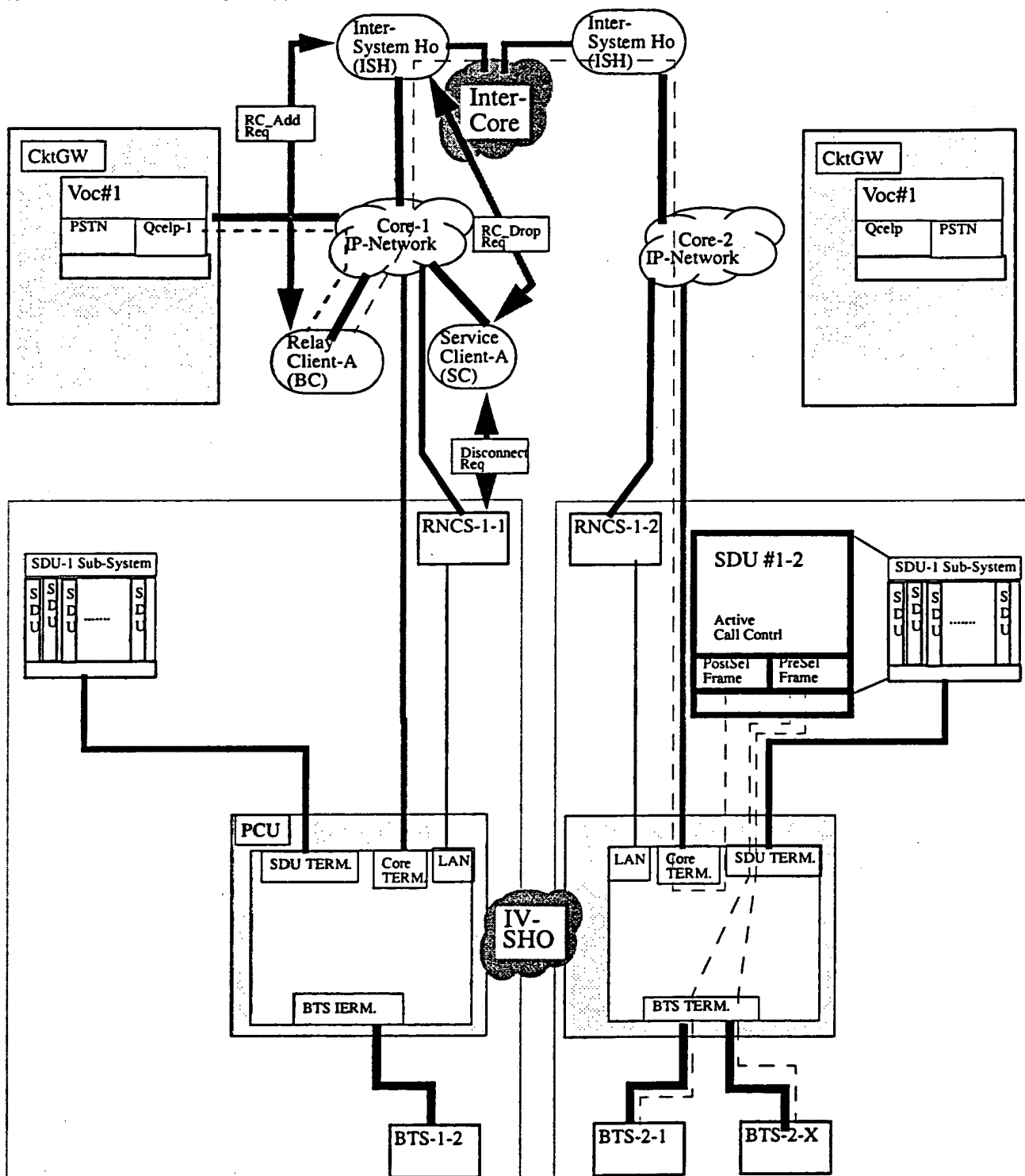
Inventor David A. B. Date 5/20/99 Witness David A. B. Date 20 May-99

Inventor P. D. G. Date 5/20/99

Disclosure for Patent Committee
 5/20/99
 5/20/99
 5/20/99
 April 29, 1999
 5/20/99
 5/20/99

FIGURE 7.

Intra-Core Streamline T=4 Tear-Down



Inventor Will D R Date 5/20/99 Witness Long Shun Date 20 MAY-99

Inventor Long Shun Date 5/20/99 Witness David H. H. H. Date 20 May 99

Inventor Y. H. H. Date 5/20/99

Disclosure for Patent Committee
Long Shun 5/20/99
David H. H. 5/20/99
Y. H. H. 5/20/99
Long Shun 5/20/99
David H. H. 5/20/99
Y. H. H. 5/20/99
 April 29, 1999
 9

4. List the closest known technology (attach article, patent, catalog sheet or other documentation).

Three Party Conference based applications.

Inter-System Soft handoff connectivity disclosures.

5. Improvement(s) over known technology.

Current methods for performing moves to new RAN components are intrusive to the Core and Mobile Station. These are usually performed in a manner where Core and Radio connections must be broken and then re-established. In many cases, the integrity of the new connections is unknown until primary control and bearer traffic is relinquished to the new RAN components. While the original connections remain for procedure failure reasons, the fallback to these connections are typically slow thus degrading the call quality. Through the disclosed method, in particular the introduction of the Core "Y" and RAN "Y" functions, the Core fixed based components and RF connections are unchanged (e.g., No CDMA Hard Handoff) through the movement to new RAN based components. The method allows for an integrity check prior to relinquishing control to the new components thus preserving the call quality through the component handoff.

6. What new elements (e.g. components, circuits, process steps) or combination of known elements or software algorithm produced the improvement?

The invention introduces a set of key functional elements, enabled by the Aerolon network architecture, which used in combination provide for the seamless high integrity handoff of RAN based components.

- Introduction of a Relay Client (Core or RAN based) which serves as the Core "Y" function. Enabling the termination and selection of multiple RAN bearer paths.
- Introduction of a RAN "Y" function which provides the BTS to support multiple bearer and control paths to SDUs (RSCs).
- Selective Connection integrity checks within the Core "Y" and RAN "Y" functions allowing for path integrity validation prior to activation of control and bearer swap.
- SDU to SDU connections via either Core "Y" or RAN "Y" to forward critical dynamic call configuration and state (e.g., RF Layer 2 State, High Speed Data State: PCF, RLP and Bearer Client State) and coordination of the relinquishing of call control and bearer traffic processing.

Inventor William D. Bie Date 5/20/99 Witness Luigi D. Bie Date 20 May 99
Inventor John J. Bie Date 5/20/99 Witness Luigi D. Bie Date 20 May 99
Inventor Luigi D. Bie Date 5/20/99
Luigi D. Bie 5/20/99
Disclosure for Patent Committee April 29, 1999
Luigi D. Bie 5/20/99 Joseph M. Polyzou 5/21/99



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: PEDZIWIATR, JOSEPH ET AL. EXAMINER: IQBAL, KHAWAR
SERIAL NO.: 10/043,797 GROUP: 2686
FILED: JANUARY 11, 2001 CASE NO.: CE08185R
TITLED: HIGH INTEGRITY RADIO ACCESS NETWORK CLIENT
REALLOCATION IN A WIRELESS COMMUNICATION NETWORK

Motorola, Inc.
Corporate Offices
1303 E. Algonquin Road
Schaumburg, IL 60196
June 29, 2005

Declaration Under 37 CFR §1.131

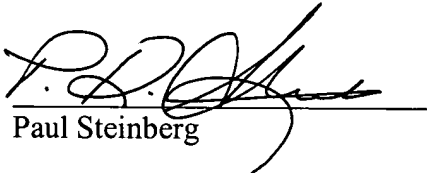
1. We, Joseph Pedziwiatr, Paul Steinberg, William S. Pierce, Richard James Malcolm, Daniel Francis Tell and Brian Jack Moore, are inventors of the present application and hereby make this declaration.
2. This declaration establishes the completion of the invention in this application in the United States, at a date prior to June 29, 2001 that is the effective date of United States Patent Application Publication No. 2003/0003919 A1 to Beming et al., which was cited by the Examiner.
3. The claimed subject matter of this patent application was the subject of a written disclosure prepared after conception and wherein the written disclosure was submitted as a Disclosure for Patent Committee to the assignee, Motorola, Inc. for the purpose of documenting, considering and maintaining invention disclosures. The Disclosure for Patent Committee is attached as Exhibit A.
4. The conception date of May 21, 1999, which is the earliest verifiable date an individual who is a non-innovator witnessed the claimed subject matter, is prior to June 29, 2001.
5. On or about June 24, 1999, Motorola, Inc. decided to pursue patent protection on the written disclosure previously submitted, and that thereafter, in due course, a patent application was prepared and filed in the United States Patent Office on January 11, 2001.

6. Prior to June 29, 2001 to January 11, 2001, we exercised due diligence to prepare and file the pending patent application. During this time period, we worked toward preparing the pending patent application for filing with the United States Patent and Trademark Office.

7. All of the above statements made of our own knowledge are true and all statement made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of this application or any patent issuing thereon.


Joseph Pedziwiatr

6/30/05
Date


Paul Steinberg

6/30/2005
Date

William S. Pierce

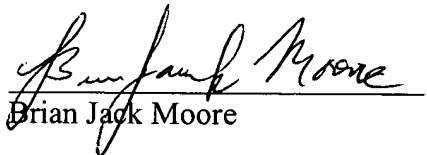
Date

Richard James Malcolm

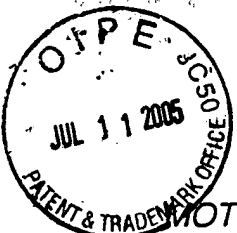
Date

Daniel Francis Tell

Date


Brian Jack Moore

6/30/2005
Date



#4

MOTOROLA INC.

Cellular Infrastructure Group

Motorola Confidential Proprietary

DISCLOSURE FOR PATENT COMMITTEE

SUBMITTED PURSUANT TO EMPLOYMENT AGREEMENT

FOR INSTRUCTIONS FOR COMPLETION REFER TO DISCLOSURE INSTRUCTION PROCEDURE

Inventor(s) will not fill in

Operation

DISCLOSURE NO. CE08185R DATE 4/27/99

Patent Committee Action

Inventor(s) Name(s)
Pedziwiatr, Joseph Pierce, Bill
Steinberg, Paul Malcolm, Rich
Moore, Quinn Tell, Dnn
Stuever, John
Spear, Steve

Inventor must fill in Items 1 thru 13. Items 2 to 5 may require extra sheets.
BE SURE that all attachments are signed and dated by both the inventor(s) and witnesses.

- 1. Name of the invention. (Limit to ten word.)
Seamless High Integrity Radio Access Client Handoff in a Wireless Network
- 2. State the problem(s) solved by the invention.
See attached.
- 3. Describe the invention, including its operation, purpose and environment. (Use separate sheets as required).
See attached.
- 4. List the closest known technology (attach article, patent, catalog sheet or other documentation).
See attached.
- 5. Improvement(s) over known technology.
See attached.
- 6. What new elements (e.g. components, circuits, process steps) or combination of known elements or software algorithm produced the improvement?
See attached.
- 7. What are the potential applications for use of this invention?
Anyone deploying CDMA systems (Lucent, LG, Samsung, Nortel, etc.)
- 8. Conception date? _____ (Attach earliest log sheets, drawings, etc., to support dates).
- 9. To whom did you first disclose this invention? Name: _____ Date: _____
- 10. Date the device was first built and tested. _____
Present location of the device? Not currently implemented.

DETERMINATION OF LEGAL INVENTORSHIP WILL BE BY THE PATENT DEPARTMENT.

Inventor's signature (IMPORTANT - YOU MUST USE YOUR FULL NAME) - NO INITIALS

11. Inventor's Full Name: (Type) <u>Joseph Pedziwiatr</u>	Signature <u>Joseph Pedziwiatr</u>	Date <u>4/24/99</u>	Social Security No. <u>334-60-2270</u>
Home Address: Street <u>640 S. 7th</u>	City <u>La Grange</u>	State <u>IL</u>	Country <u>USA</u>
		Zip Code <u>60525</u>	
Citizen of (i.e. U.S., Germany, etc.) <u>USA</u>	Dept. No. ⁸⁴⁷ - Phone <u>BC573 2-5098</u>	Room No. <u>IL75/2</u>	Employee Status <input checked="" type="checkbox"/> Permanent <input type="checkbox"/> Contractor
Inventor's Immediate Supervisor <u>Paul Steinberg</u>	Dept. No. <u>BC573</u>	Phone <u>2-5867</u>	Social Security No. <u>10025887</u>

called Joe.

12. Inventor's Full Name: (Type)

Paul Steinberg

Signature



Date

5/21/99

Social Security No.

323-42-5257

Home Address: Street

City

State

Country

Zip Code

Citizen of (i.e. U.S., Germany, etc.)

Dept. No.

Phone

Room No.

Employee Status

☐

Permanent

☐

Contractor

Inventor's Immediate Supervisor

Dept. No.

Phone

Social Security No.

13. Inventor's Full Name: (Type)

William S. Pierce

Signature



Date

5/22/99

Social Security No.

340-66-5315

Home Address: Street

City

State

Country

Zip Code

8 Dryden Court

66568 Algonquin

IL

USA

60102

Citizen of (i.e. U.S., Germany, etc.)

Dept. No.

Phone

Room No.

Employee Status

☒

Permanent

☐

Contractor

Inventor's Immediate Supervisor

Dept. No.

Phone

Social Security No.

Witness signatures (TWO WITNESSES ARE REQUIRED). Witness must sign and date this form and all attachments.
THE WITNESSES IN SIGNING THIS FORM ATTEST TO THE FACT THAT THEY UNDERSTAND THE INVENTION.

14. Witness Name: (Type)

Larry D. Jvec

Signature



Date

2007 99


Phone

847 632 5259

15. Witness Name: (Type)

Donald A. Wick

Signature



Date

2007 99

Phone

847 632 6103

Items 16 thru 24 are to be filled in by the ENGINEERING/PRODUCT MANAGER or above.

THE MANAGER IN SIGNING THIS FORM ATTESTS TO THE FACT THAT HE UNDERSTANDS THE INVENTION.

16. What product will this invention be used in? (No code names -- use brief description if necessary)

Aerolon applications.

17. When (was) (will) the first offer for sale of a product incorporating this invention (be) made?

Date: _____

18. When is the estimated shipping date?

19. When (was) (will) the first disclosure outside of Motorola (be) made? How and to whom? Nondisclosure agreement signed? State title and date of publication, if any.

20. What is the market for products incorporating this invention? Be specific and quantitative.

GSM/CDMA/UMTS Cellular Systems, GSM/CDMA/UMTS Enterprise Wireless Systems
GSM/CDMA/UMTS Wireless Local Loop Systems

21. Who are the potential competitors? What is the possibility this invention will be used by competitors? Which ones?

Lucent, Samsung, LG, Nortel, Cisco, Ericsson, Nokia

22. Did this invention result from work on a development Contract? (YES) (NO) Contract No. _____

Who was the contracting party? _____

23. Discuss the business impact that this invention will have on Motorola. Be specific and quantitative.

This invention provides a means to seamlessly move the bearer and control client functions from one Radio Access Network to another. The method uses bridging functions within the Core and RAN networks allowing for simultaneous high integrity connections between the existing a future RAN components through the move operation.

24. Manager's Name (Type)

Signature

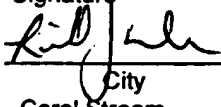
Date

Dept. No.

Phone

DETERMINATION OF LEGAL INVENTORSHIP WILL BE MADE BY THE PATENT DEPARTMENT.

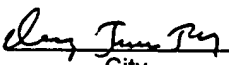
Inventor's signature (IMPORTANT --YOU MUST USE YOUR FULL NAME)--NO INITIALS--

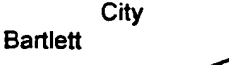
11. Inventor's Full Name: Richard James Malcolm	Signature 	Date 4-20-98 5-21-99	Social Security No. & Commerce ID 351-50-4876 10040345	
Home Address: Street 625 Paxton Place	City Carol Stream	State IL	Country USA	Zip Code 60188
Citizen of (i.e. U.S., Germany, etc.) US	Dept. No. BC568	Phone 2-6063	Mail drop & Post no. IL27 3-3c	Employee Status
Inventor's Immediate Supervisor Dan Tell	Dept. No. BD996	Phone 2-5301	Social Security No. & Commerce ID 350-42-1127	Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/> 10039680

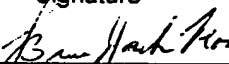
Motorola Confidential Proprietary Upon Completion

Page 2--Disclosure No.

Motorola Confidential Proprietary Upon Completion

12. Inventor's Full Name: (Type) Daniel Francis Tell	Signature 	Date 5-16-99	Social Security No. & Commerce ID 350-42-1127 10039680	
Home Address: Street 1533 Bowling Green	City Lake Forest	State IL	Country USA	Zip Code 60045
Citizen of (i.e. U.S., Germany, etc.) US	Dept. No. BD996	Phone 2-5301	Mail drop & Post No. IL27 3-5C	Employee Status
Inventor's Immediate Supervisor John Thode	Dept. No. BD908	Phone 2-5322	Social Security No. & Commerce ID	Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/> 10045518


13. Inventor's Full Name: (Type) Paul Daniel Steinberg	Signature 	Date	Social Security No. & Commerce ID 323-42-5257	
Home Address: Street 1200 Keim Trail	City Bartlett	State IL	Country USA	Zip Code 60103
Citizen of (i.e. U.S., Germany, etc.) USA	Dept. No. BC573	Phone 2-5867	Mail drop & Post no. IL27 3N9	Employee Status
Inventor's Immediate Supervisor John Cipolla	Dept. No. BC573	Phone 2-5283	Social Security No. & Commerce ID 10041815	Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>

Inventor's Full Name: (Type) Brian Jack Moore	Signature 	Date 5/20/99	Social Security No. & Commerce ID 336-42-6399	
Home Address: Street 718 Bon Aire Drive	City Palatine	State IL	Country USA	Zip Code 60067
Citizen of (i.e. U.S., Germany, etc.) USA	Dept. No. BD537	Phone 2-5266	Mail drop & Post no. IL27 AR3223	Employee Status
Inventor's Immediate Supervisor Don Benkeser	Dept. No. BD537	Phone 5-0137	Social Security No. & Commerce ID 316-54-3649	Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>

Inventor's Full Name: (Type) John M. Sauer		Signature <i>John M. Sauer</i>	Date <i>5/21/88</i>	Social Security No. & Commerce ID 312666792	
Home Address: Street 1066 Augustana Drive		City Naperville	State IL	Country USA	Zip Code 60565
Citizen of (i.e. U.S., Germany, etc.) USA	Dept. No. BC588	Phone 2-5707	Mail drop & Post no. IL-27	Employee Status Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>	
Inventor's Immediate Supervisor Bill Payne		Dept. No. BC279	Phone 5-5154	Social Security No. & Commerce ID 510-46-2151	
Inventor's Full Name: (Type) Stephen Lee Spear		Signature	Date	Social Security No. & Commerce ID 344-38-0983	
Home Address: Street 25 Williamsburg		City Skokie	State IL	Country USA	Zip Code 60203
Citizen of (i.e. U.S., Germany, etc.) USA	Dept. No. BC597	Phone 2-5251	Mail drop & Post no. AR3205	Employee Status Permanent <input checked="" type="checkbox"/> Contractor <input type="checkbox"/>	
Inventor's Immediate Supervisor Jerry Campbell		Dept. No. BC597	Phone 2-2162	Social Security No. & Commerce ID 510-46-2151	

DETERMINATION OF LEGAL INVENTORSHIP WILL BE MADE BY THE PATENT DEPARTMENT.

Inventor's signature (IMPORTANT -YOU MUST USE YOUR FULL NAME)-NO INITIALS-

11. Inventor's Full Name: Richard E. White Signature  Date 05/20/99 Social Security No. & Commerce ID 178-44-0863

Home Address: Street 980 Milford St City Cary State IL Country USA Zip Code 60013

Citizen of (i.e. U.S., Germany, etc.) USA Dept. No. BC279 Phone 5-0235 Mail drop & Post no. IL27 2A8 Employee Status

Inventor's Immediate Supervisor Bill Payne Dept. No. BC279 Phone 5-5155 Social Security No. & Commerce ID 510-46-2151 Permanent ☒ Contractor

Motorola Confidential Proprietary Upon Completion

Page 2-Disclosure No. Motorola Confidential Proprietary Upon Completion



Disclosure for Patent Committee

1. Name of the invention

Seamless High Integrity Radio Access Client Handoff in a Wireless Network

2. State the problem(s) solved by the invention

When a Mobile Termination device accesses the network requesting a desired service, a set of resources and path connections (Control and Bearer) are established within the Core and RAN network to support the requested service. This initial call configuration is assumed to be the optimum call configuration, given the state of the networks at the time of access. But, the dynamics of the RF environment along the mobility of the Mobile Terminating device, this initial call configuration may quickly become sub-optimum.

Functions within the RAN exist to optimize the RF paths. These RF path optimizations result in the establishment or removal of RAN based resources along with their associated control and bearer paths. As the Mobile Terminating device moves throughout the system, the crossing of RAN and CORE boundaries is inevitable. RAN boundary crossings are addressed within the Aerolon network via RAN to RAN interfaces. These interfaces allow Mobile Termination Device services to be provided across the boundaries. In general these interfaces allow for the allocation of BTS and RF resources along with a path for control messaging and bearer traffic delivery. But the support of calls across these interfaces may become sub-optimum over time. The control and bearer traffic paths may be over extended thus introducing unacceptable control latency and bearer traffic delays (including differential delays).

Typically, Radio Access Call Control and Bearer Path Management is centralized at a point within the RAN, referred to in general terms further as the RAN Session Client (RSC). (In particular to CDMA (Wide Band CDMA) the Selector Distribution Unit (SDU) and Radio Network Control Servers (RNCS) are instantiations of an RSC. Critical in maintaining an optimum call configuration is the location of the RSC. The RSC placement is critical, since the RSC serves as the termination point for the Core and BTS Bearer Path along with RAN Call Control. Locating the RSC to minimize bearer traffic delays and control latency is a crucial aspect of an optimum call configuration.

It is therefore beneficial from a Call Quality perspective to transfer the RSC from one physical point to another within the RAN Network.

Moving the physical location of the RSC is currently supported within some networks via intrusive manners. These procedure generally break and re-establish both Core and Radio connections, such as CDMA Hard Handoff. This not only impacts the quality of a given call but requires undesired interaction between the Core Network and MS on RAN boundary limitations. In addition, any modification to the Core and MS connections brings the potential for a failed connection.

Inventor [Signature] Date 5/10/99 Witness [Signature] Date 30 May 99

Inventor [Signature] Date 5-20-99 Witness [Signature] Date 20 May 99

Inventor [Signature] Date 5/12/99

[Signature] 5/12/99

[Signature] 5/20/99

[Signature] 5/20/99

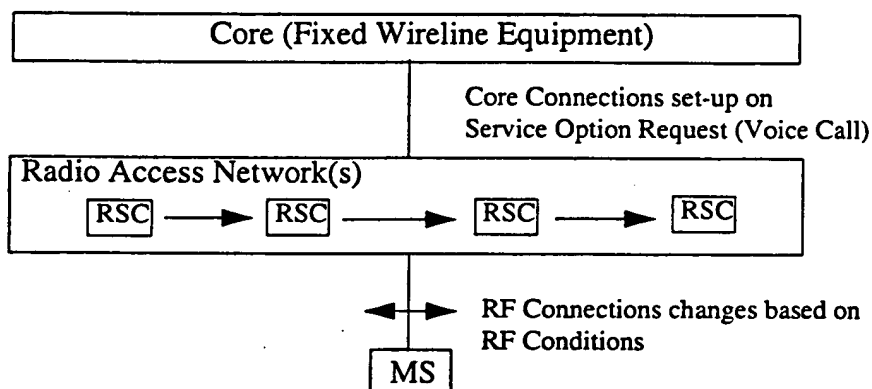
[Signature] 5/20/99

A method needs to be provided which provides a seamless RSC reallocation and which preserves the integrity of the call and connects.

3. Describe the invention, including its operation, purpose and environment.

The following invention specifies a method of moving the RSC within and across RANs in a seamless manner with high integrity. Figure 1, "Seamless RSC Handoff Illustration," on page 2 depicts the objective of this invention. The figure highlights the Fixed Equipment in the Core network and MS's connections unaffected by RSC reallocation within the Radio Access Network(s).

FIGURE 1. Seamless RSC Handoff Illustration



In order provide for a seamless RSC handoff, two parallel paths from the BTS(s) and Core network are created. These connections involve two RSCs with the parallel paths supported via a RANS and Core "Y" bridging function. The "Y" bridging functions serve to provide for un-interrupted Bearer and Control for a given call session through an RSC handoff.

Figure 2, "System Bridging Functionality," on page 3 illustrates a Seamless High Integrity RSC handoff. The execution of such a procedure required the introduction of multiple bridging functions. The first bridging function, identified as the Core "Y" (a.k.a., Relay Client in Aerolon) provides a fixed termination point for fixed core based equipment (e.g., Circuit Gateway). Typically, these paths are formed at initial system access of the MSs. The Core "Y" provides bridging functions between the RSCs during the transitioning process. Further, the bridging function will allow for the integrity of the connection between the Core and new RSC prior to the bearer and control handoff within the RSC.

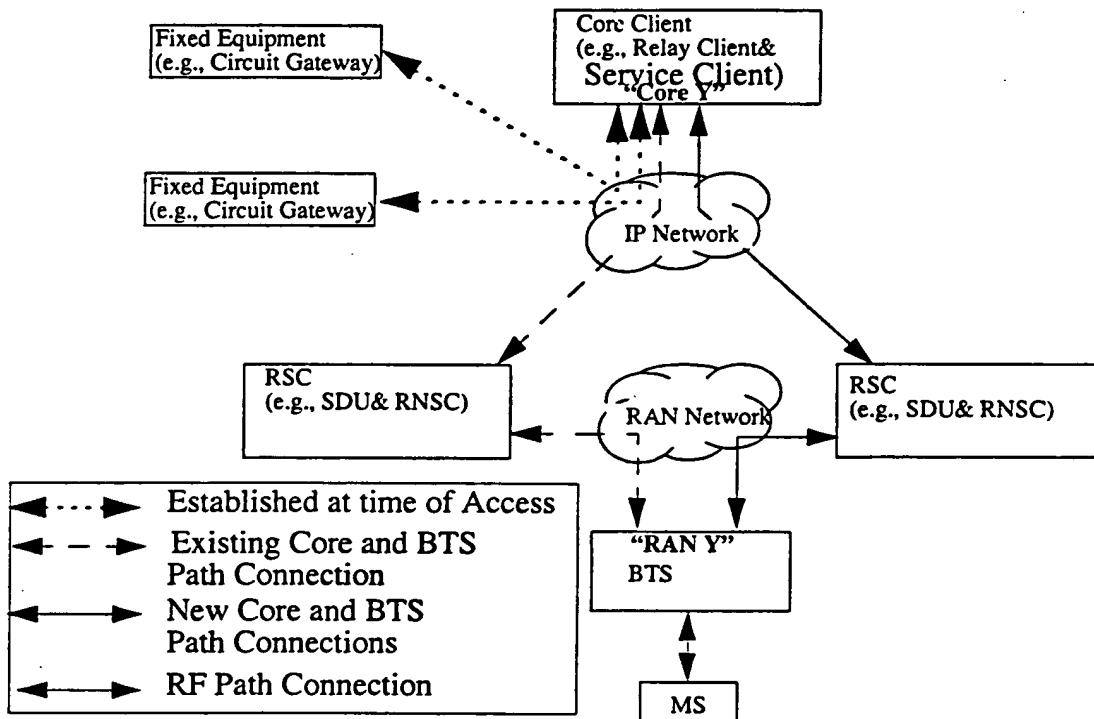
A RAN "Y" function is also required to shield the MS from the RSC transition. This RAN "Y" function supports the bridging and selection of control and bearer traffic from multiple RSCs. As with the Core "Y" functionality, the bridging function allows for the integrity check of the connections between the new RSC and BTS prior to the bearer and control handoff within the RSC. Typically, the multiple RAN "Y" connections will be established, since multiple BTSs are involved in a given call (CDMA Soft Handoff).

Inventor William D. Lin Date 5/20/99 Witness Louis D. Lin Date 20 May 99
 Inventor David J. Lin Date 5-20-99 Witness David J. Lin Date 20 May 99
 Inventor T. D. Lin Date 5/20/99
N. J. Lin 5/21/99
David J. Lin 5/20/99
 Disclosure for Patent Committee April 29, 1999
David J. Lin 5/20/99
David J. Lin 5/21/99

In most cases (e.g., CDMA), handing off of the RSCs involved the preservation of critical data of the Core and MSs. As an example, in a CDMA RSC handoff, the State of the MSs RF Layer 2 State information must be preserved. The relaying of this information between the two RSCs along with the coordination of the handoff would occur via either the Core "Y" or RAN "Y" function.

When all required information is obtained by the new RSC and Core and BTS paths are validated, the new RSC will take-over the control and bearer processing for the call. The old RSC connection will remain providing a graceful fallback in the case of a RSC handoff failure.

FIGURE 2. System Bridging Functionality



The following set of illustrations depict the "Seamless High Integrity Radio Access Client Hand-off" in the context of CDMA.

Figure 3, "Intra-Core Streamline T=0," on page 5 illustrates a CDMA Call involved in an Inter-RAN soft handoff. CORE-1 and RAN-1 support the termination of the Core End-Points for a given Voice Call (Note multiple Core end-point may be involved). The Core network supports the Relay Client and the Service Client. Within RAN-1, the RNCS-1-1 supports the call control along with the selection function. The BTSs involved in the call are not contained within RAN-1 but are supported via bearer and control backhaul through the Inter-Vendor Soft Handoff (IV-SHO) inter-connect. At this time, a SDU/RNCS handoff (RSC Handoff) is desired.

Figure 4, "Intra-Core Streamline T=1-Establish RAN Connections," on page 6 illustrates the establishment of the SDU to BTS connection. An SDU is assigned in RAN-2 along with the BTS

Inventor William D. Rine Date 5/16/99 Witness [Signature] Date 20 May 99
 Inventor [Signature] Date 5/20/99 Witness [Signature] Date 20 May 99
 Inventor [Signature] Date 5/20/99
[Signature] 5/20/99

[Signature] 5/16/99 [Signature] 5/16/99

connections to the current serving BTSs. This function requires the RAN "Y" in the BTS. Continuation of the procedure will not occur until the new SDU to BTS(s) path integrity is assured. RAN to RAN control communications are used to initiate and coordinate the new RAN configuration.

Figure 5, "Intra-Core Streamline T=2-Establish Core Connections," on page 7 illustrates the establishment of the Core Network. An interaction will take place between the Core networks to establish a Path to the new SDU. The Relay Client establishes a Core "Y" bridging functions allowing for the new SDU to verify its path connection integrity with the Relay Client. Continuation of the procedure will not occur until the new SDU to Relay Client path integrity is assured. At this time, the Core "Y" and RAN "Y" connections are established and the handing off of control and bearer management can be performed.

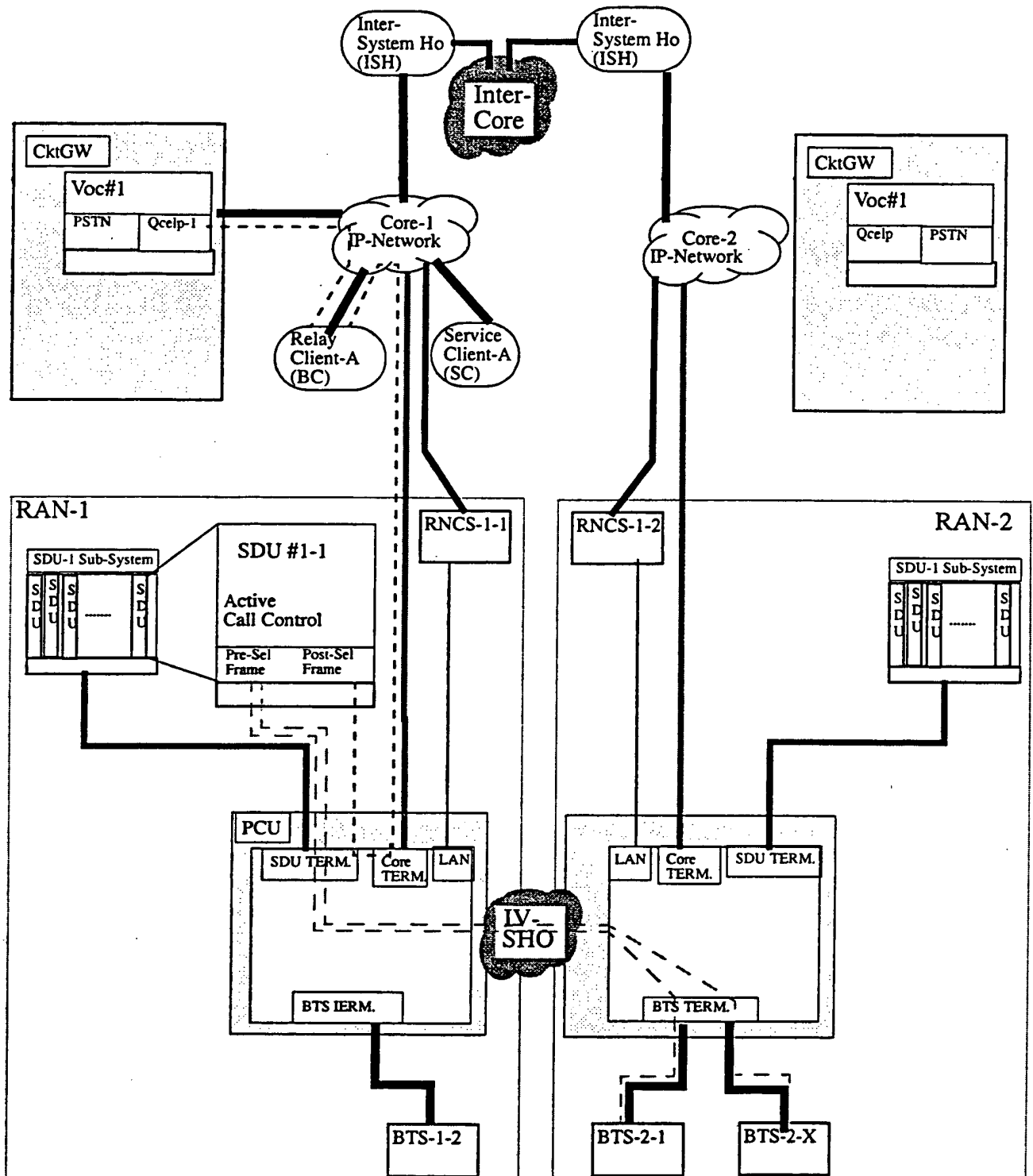
Figure 6, "Intra-Core Streamline T=3-Execute Handoffs," on page 8 illustrates the interaction between the RANs to obtain control information. It is expected to minimize latency that the required call data would be exchanged via the bearer path. The used of either the Core "Y" or RAN "Y" provides a channel between the two SDUs for control data exchange. Once the required data is obtained by the new SDU, the new SDU will take control of the call. The old SDU and its associated connections will remain as a fallback configuration.

Figure 7, "Intra-Core Streamline T=4-Tear-Down," on page 9 illustrates the teardown of the initial connections. This would be performed on the successful completion of the SDU handoff.

Inventor Will D. Kim Date 5/20/99 Witness David Kim Date 20 May 99
Inventor David Kim Date 5-20-99 Witness David Kim Date 20 May 99
Inventor P. D. Kim Date 5/2/99
K. J. Kim 5/2/99
David Kim 5/20/99
Disclosure for Patent Committee April 29, 1999
David Kim 5/20/99 David Kim 5/21/99

FIGURE 3.

Intra-Core Streamline T=0



Inventor William S. P. Date 5/10/99 Witness Lawrence Date 20 May 99

Inventor Chen Date 5/10/99 Witness Donald W. Wicks Date 20 May 99

Inventor P. J. D. Date 5/21/99

John 5/21/99
James 5/22/99

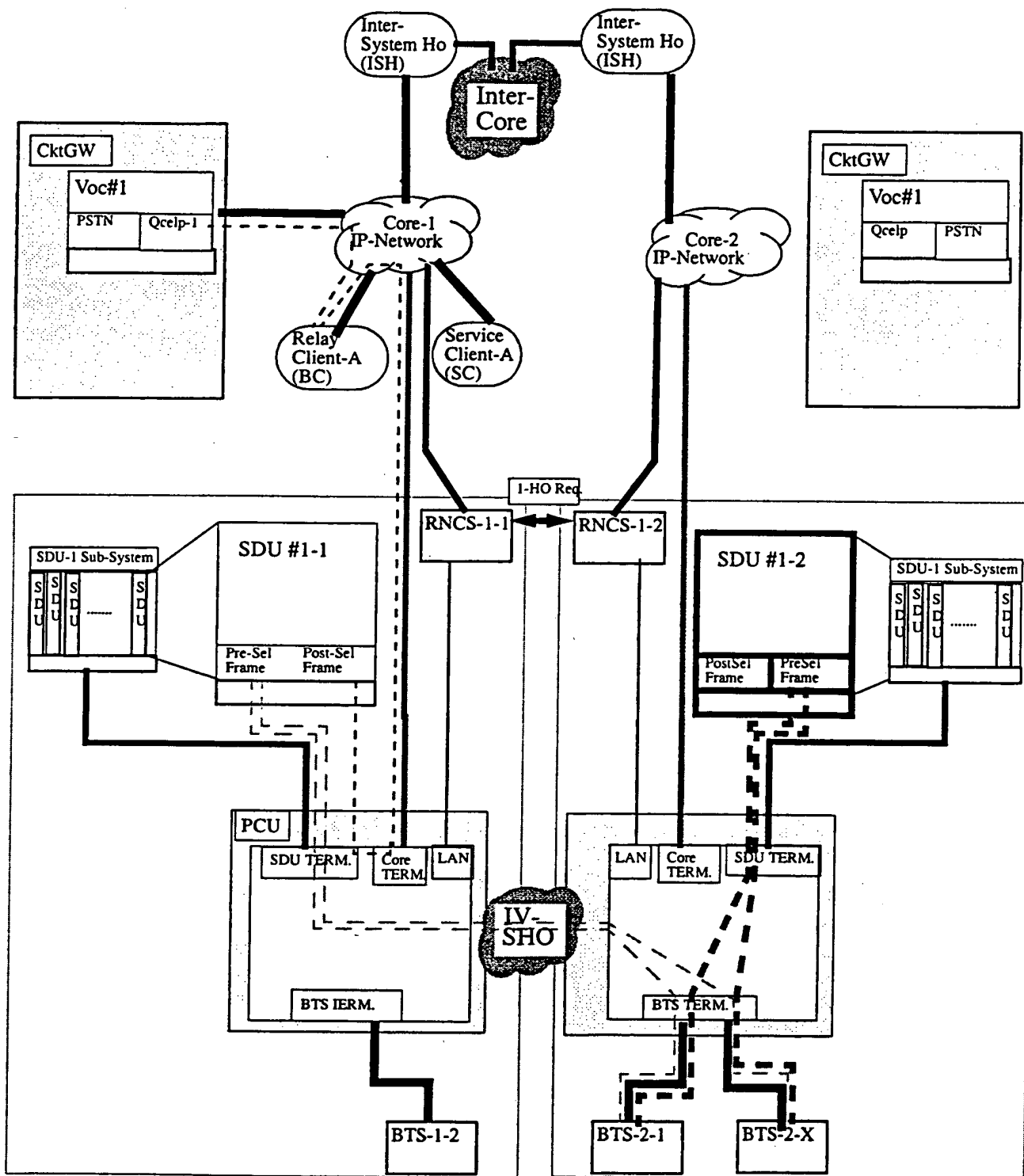
Disclosure for Patent Committee

April 29, 1999

James 5/20/99
Ronald F. White 5/21/99

FIGURE 4.

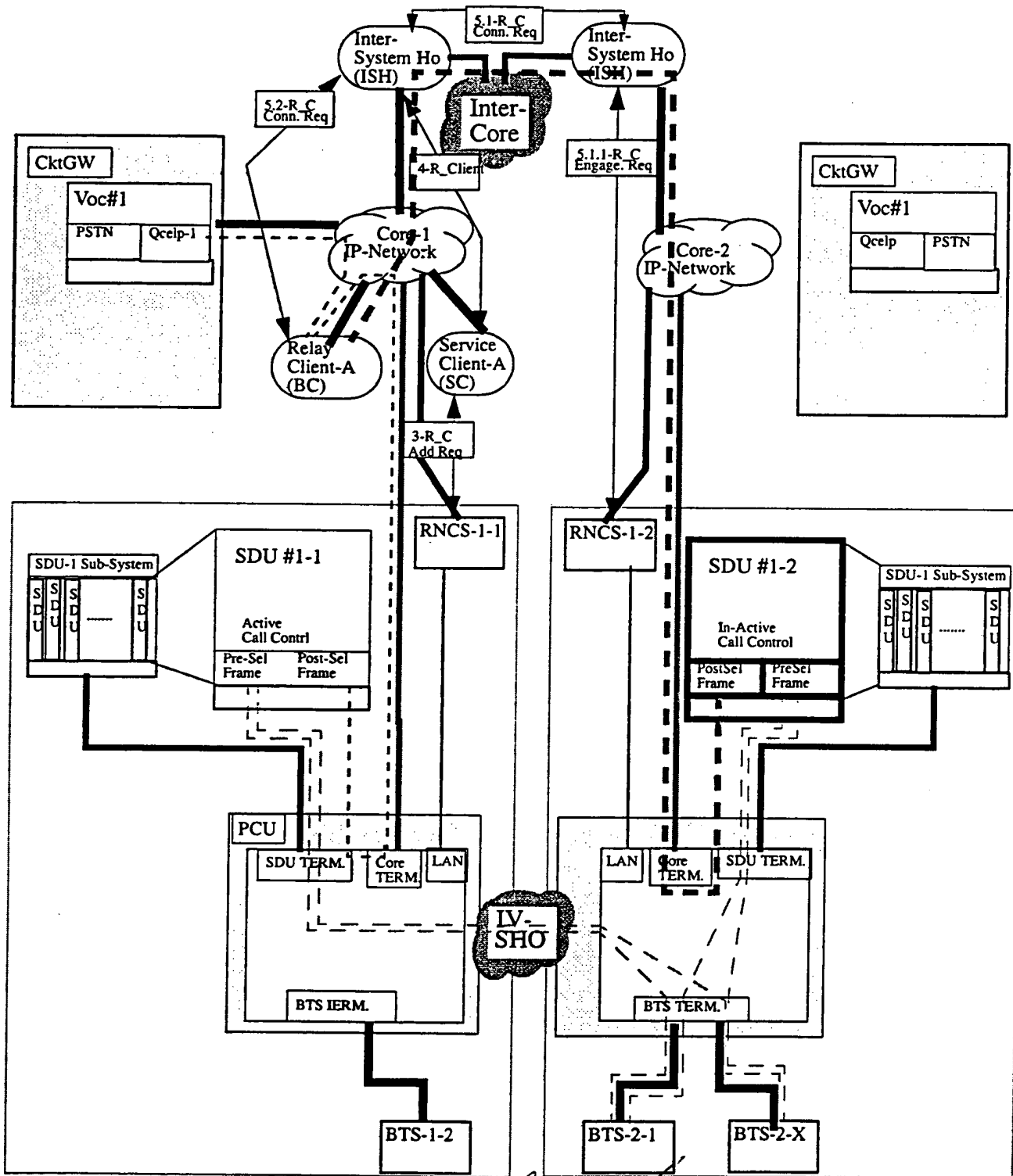
Intra-Core Streamline T=1-Establish RAN Connections



Inventor John D. Ben Date 5/20/99 Witness Long Date 20-May-99
 Inventor John D. Ben Date 5/20/99 Witness David M. Ben Date 20 May 99
 Inventor P. J. D. Ben Date 5/20/99
 7/2/99
 5/20/99
 5/20/99
 Disclosure for Patent Committee April 29, 1999
 5/20/99
 5/20/99
 5/20/99

FIGURE 5.

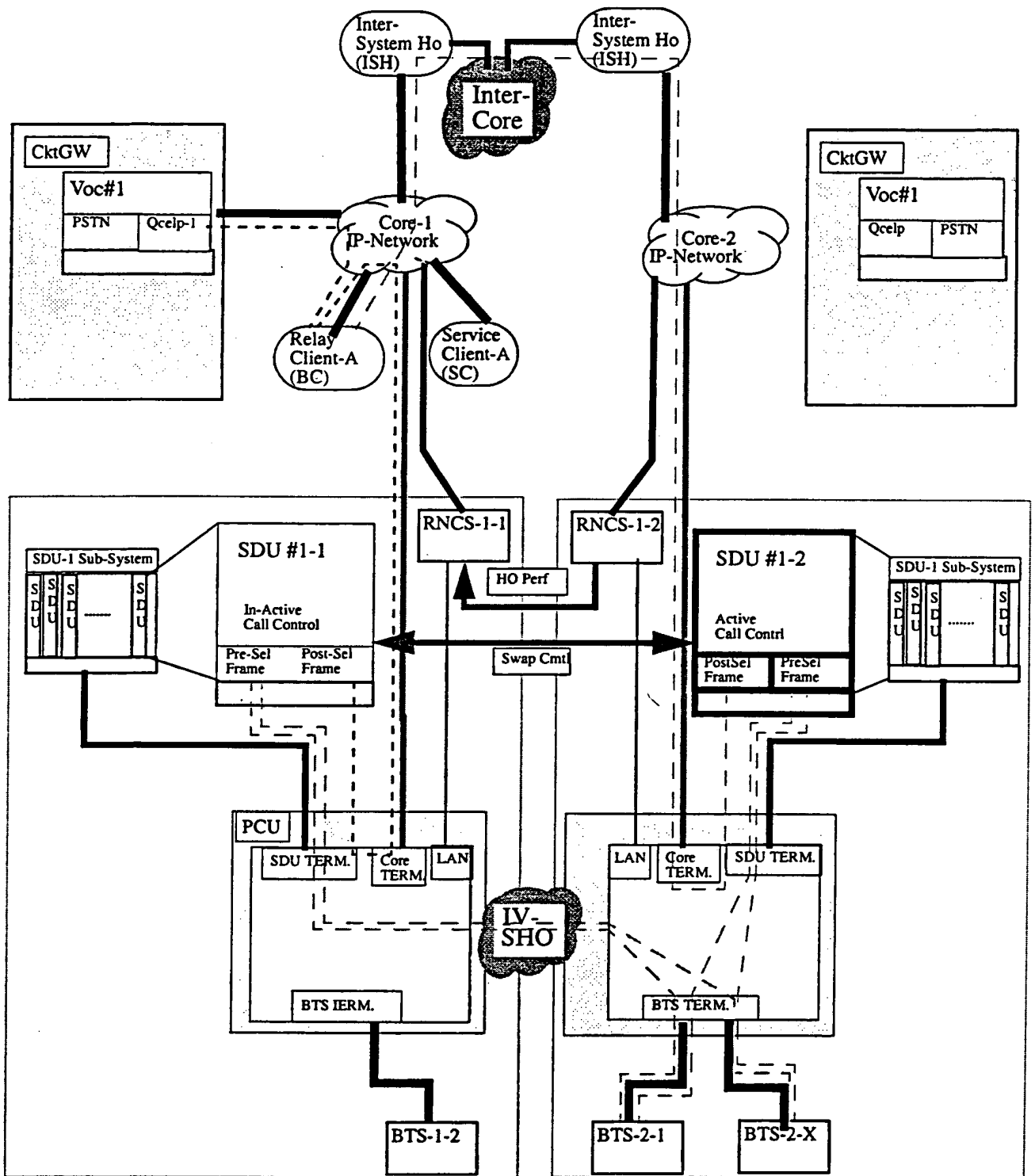
Intra-Core Streamline T-2-Establish Core Connections



Inventor Arthur J. P. Date 5/20/99 Witness Sam J. P. Date 20 May 99
 Inventor Sam J. P. Date 5/20/99 Witness Sam J. P. Date 20 May 99
 Inventor Sam J. P. Date 5/20/99
 Disclosure for Patent Committee
 April 29, 1999
 5/20/99
 5/20/99
 5/20/99
 5/20/99

FIGURE 6.

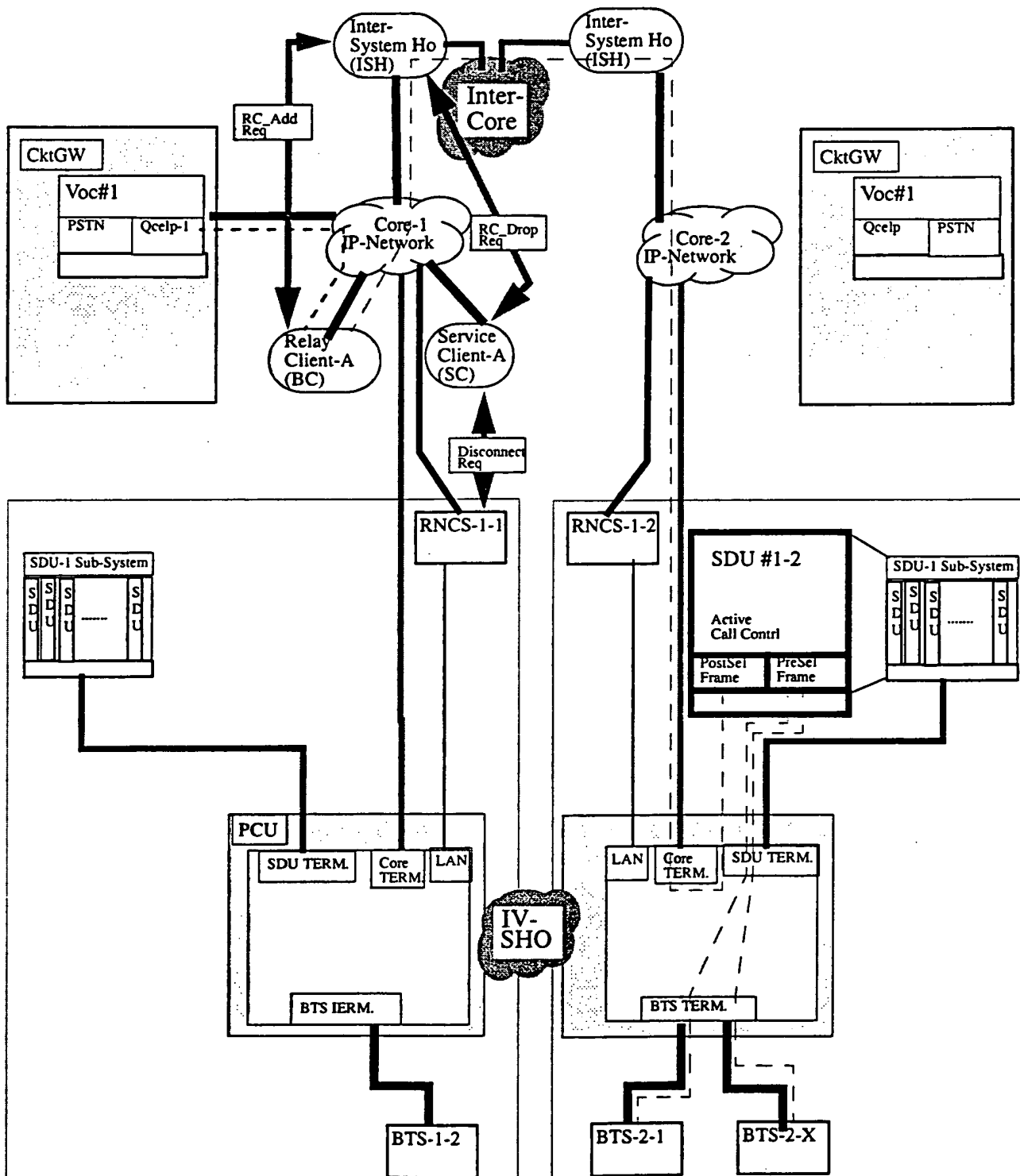
Intra-Core Streamline T=3-Execute Handoffs



Inventor Willie D. Ben Date 5/20/99 Witness Leah Date 20 May 99
 Inventor Donna M. Ben Date 5/20/99 Witness Donna M. Ben Date 20 May 99
 Inventor P. D. G. Ben Date 5/20/99
 Disclosure for Patent Committee
 April 29, 1999
 5/20/99
 5/20/99
 5/20/99
 5/20/99

FIGURE 7.

Intra-Core Streamline T=4 Tear-Down



Inventor Will D. R. Date 5/20/99 Witness Long Sun Date 20 MAY-99
 Inventor Long Sun Date 5/20/99 Witness David H. H. H. Date 20 May 99
 Inventor T. D. R. Date 5/20/99
Long Sun 5/20/99
David H. H. H. 5/20/99
 Disclosure for Patent Committee April 29, 1999
Long Sun 5/20/99 David H. H. H. 5/20/99

4. List the closest known technology (attach article, patent, catalog sheet or other documentation).

Three Party Conference based applications.

Inter-System Soft handoff connectivity disclosures.

5. Improvement(s) over known technology.

Current methods for performing moves to new RAN components are intrusive to the Core and Mobile Station. These are usually performed in a manner where Core and Radio connections must be broken and then re-established. In many cases, the integrity of the new connections is unknown until primary control and bearer traffic is relinquished to the new RAN components. While the original connections remain for procedure failure reasons, the fallback to these connections are typically slow thus degrading the call quality. Through the disclosed method, in particular the introduction of the Core "Y" and RAN "Y" functions, the Core fixed based components and RF connections are unchanged (e.g., No CDMA Hard Handoff) through the movement to new RAN based components. The method allows for an integrity check prior to relinquishing control to the new components thus preserving the call quality through the component handoff.

6. What new elements (e.g. components, circuits, process steps) or combination of known elements or software algorithm produced the improvement?

The invention introduces a set of key functional elements, enabled by the Aerolon network architecture, which used in combination provide for the seamless high integrity handoff of RAN based components.

- Introduction of a Relay Client (Core or RAN based) which serves as the Core "Y" function. Enabling the termination and selection of multiple RAN bearer paths.
- Introduction of a RAN "Y" function which provides the BTS to support multiple bearer and control paths to SDUs (RSCs).
- Selective Connection integrity checks within the Core "Y" and RAN "Y" functions allowing for path integrity validation prior to activation of control and bearer swap.
- SDU to SDU connections via either Core "Y" or RAN "Y" to forward critical dynamic call configuration and state (e.g., RF Layer 2 State, High Speed Data State: PCF, RLP and Bearer Client State) and coordination of the relinquishing of call control and bearer traffic processing.

Inventor William D. Bie Date 5/20/99 Witness Luigi De Luca Date 20 MAY 99
Inventor James J. D. Bie Date 5/20/99 Witness Luigi De Luca Date 20 May 99
Inventor Luigi De Luca Date 5/20/99
Kit J. Bie 5/20/99
James J. D. Bie 5/20/99
Disclosure for Patent Committee April 29, 1999
James J. D. Bie 5/20/99 Luigi De Luca 5/20/99



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	PEDZIWIATR, JOSEPH ET AL.	EXAMINER:	IQBAL, KHAWAR
SERIAL NO.:	10/043,797	GROUP:	2686
FILED:	JANUARY 11, 2001	CASE NO.:	CE08185R
TITLED:	HIGH INTEGRITY RADIO ACCESS NETWORK CLIENT REALLOCATION IN A WIRELESS COMMUNICATION NETWORK		

Motorola, Inc.
Corporate Offices
1303 E. Algonquin Road
Schaumburg, IL 60196
June 30, 2005

Declaration Under 37 CFR §1.131

1. We, Joseph Pedziwiatr, Paul Steinberg, William S. Pierce, Richard James Malcolm, Daniel Francis Tell and Brian Jack Moore, are inventors of the present application and hereby make this declaration.
2. This declaration establishes the completion of the invention in this application in the United States, at a date prior to June 29, 2001 that is the effective date of United States Patent Application Publication No. 2003/0003919 A1 to Beming et al., which was cited by the Examiner.
3. The claimed subject matter of this patent application was the subject of a written disclosure prepared after conception and wherein the written disclosure was submitted as a Disclosure for Patent Committee to the assignee, Motorola, Inc. for the purpose of documenting, considering and maintaining invention disclosures. The Disclosure for Patent Committee is attached as Exhibit A.
4. The conception date of May 21, 1999, which is the earliest verifiable date an individual who is a non-innovator witnessed the claimed subject matter, is prior to June 29, 2001.
5. On or about June 24, 1999, Motorola, Inc. decided to pursue patent protection on the written disclosure previously submitted, and that thereafter, in due course, a patent application was prepared and filed in the United States Patent Office on January 11, 2001.

6. Prior to June 29, 2001 to January 11, 2001, we exercised due diligence to prepare and file the pending patent application. During this time period, we worked toward preparing the pending patent application for filing with the United States Patent and Trademark Office.

7. All of the above statements made of our own knowledge are true and all statement made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of this application or any patent issuing thereon.

Joseph Pedziwiatr


Date

Paul Steinberg

Date

William S. Pierce

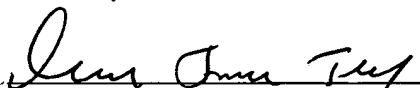
Date



Richard James Malcolm

6/30/2005

Date



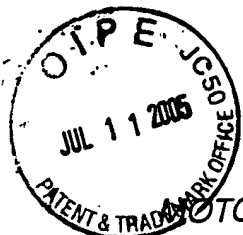
Daniel Francis Tell

6/30/2005

Date

Brian Jack Moore

Date



#4

MOTOROLA INC.

Cellular Infrastructure Group

Motorola Confidential Proprietary

DISCLOSURE FOR PATENT COMMITTEE

SUBMITTED PURSUANT TO EMPLOYMENT AGREEMENT

FOR INSTRUCTIONS FOR COMPLETION REFER TO
DISCLOSURE INSTRUCTION PROCEDURE

Inventor(s) will not fill in

Operation

DISCLOSURE NO. CE08185R DATE 4/27/99

Patent Committee Action

Inventor(s) Name(s)

Pedziwiatr, Joseph
Steinberg, Paul
Pierce, Bill
Malcolm, Rich
Tee, Dnn
Moore, Quinn : Steve John
Spear, Steve

Inventor must fill in Items 1 thru 13. Items 2 to 5 may require extra sheets.

BE SURE that all attachments are signed and dated by both the inventor(s) and witnesses.

1. Name of the invention. (Limit to ten word.)
Seamless High Integrity Radio Access Client Handoff in a Wireless Network
2. State the problem(s) solved by the invention.
See attached.
3. Describe the invention, including its operation, purpose and environment. (Use separate sheets as required).
See attached.
4. List the closest known technology (attach article, patent, catalog sheet or other documentation).
See attached.
5. Improvement(s) over known technology.
See attached.
6. What new elements (e.g. components, circuits, process steps) or combination of known elements or software algorithm produced the improvement?
See attached.
7. What are the potential applications for use of this invention?
Anyone deploying CDMA systems (Lucent, LG, Samsung, Nortel, etc.)
8. Conception date? _____ (Attach earliest log sheets, drawings, etc., to support dates).
9. To whom did you first disclose this invention? Name: _____ Date: _____
10. Date the device was first built and tested. _____
Present location of the device? Not currently implemented.

DETERMINATION OF LEGAL INVENTORSHIP WILL BE BY THE PATENT DEPARTMENT.

Inventor's signature (IMPORTANT - YOU MUST USE YOUR FULL NAME) - NO INITIALS

11. Inventor's Full Name: (Type)	Signature	Date	Social Security No.		
Joseph Pedziwiatr	<i>Joseph Pedziwiatr</i>	4/21/99	334-60-2270		
Home Address: Street	City	State	Country	Zip Code	
640 S. 7th	La Grange	IL	USA	60525	
Citizen of (i.e. U.S., Germany, etc.)	Dept. No. 847- Phone	Room No.	Employee Status		
USA	BC573 632-5098	IL7512	<input checked="" type="checkbox"/> Permanent <input type="checkbox"/> Contractor		
Inventor's Immediate Supervisor	Dept. No.	Phone	Social Security No.		
Paul Steinberg	BC573	2-5867	10025887		

called Joe.

12. Inventor's Full Name: (Type)

Paul Steinberg

Signature



Date

5/21/99

Social Security No.

323-42-5257

Home Address: Street

City

State

Country

Zip Code

Citizen of (i.e. U.S., Germany, etc.)

Dept. No.

Phone

Room No.

Employee Status

☐

Permanent

☐

Contractor

Inventor's Immediate Supervisor

Dept. No.

Phone

Social Security No.

13. Inventor's Full Name: (Type)

William S. Pierce

Signature



Date

5/21/99

Social Security No.

340-66-5315

Home Address: Street

City

State

Country

Zip Code

8 Dryden Court

66568 Algonquin

IL

USA

60102

Citizen of (i.e. U.S., Germany, etc.)

Dept. No.

Phone

Room No.

Employee Status

☒

Permanent

☐

Contractor

Inventor's Immediate Supervisor

Dept. No.

Phone


Social Security No.

Witness signatures (TWO WITNESSES ARE REQUIRED). Witness must sign and date this form and all attachments.
THE WITNESSES IN SIGNING THIS FORM ATTEST TO THE FACT THAT THEY UNDERSTAND THE INVENTION.

14. Witness Name: (Type)

Larry D. JVEC

Signature



Date

5/21/99

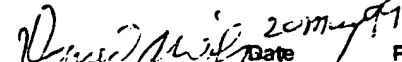
Phone

847 632 5259

15. Witness Name: (Type)

Donald A. Wick

Signature



Date

5/21/99

Phone

847 632 6103

Items 16 thru 24 are to be filled in by the ENGINEERING/PRODUCT MANAGER or above.

THE MANAGER IN SIGNING THIS FORM ATTESTS TO THE FACT THAT HE UNDERSTANDS THE INVENTION.

16. What product will this invention be used in? (No code names - use brief description if necessary)

Aerolon applications.

17. When (was) (will) the first offer for sale of a product incorporating this invention (be) made?

Date: _____

18. When is the estimated shipping date?

19. When (was) (will) the first disclosure outside of Motorola (be) made? How and to whom? Nondisclosure agreement signed? State title and date of publication, if any.

20. What is the market for products incorporating this invention? Be specific and quantitative.

GSM/CDMA/UMTS Cellular Systems, GSM/CDMA/UMTS Enterprise Wireless Systems
GSM/CDMA/UMTS Wireless Local Loop Systems

21. Who are the potential competitors? What is the possibility this invention will be used by competitors? Which ones?

Lucent, Samsung, LG, Nortel, Cisco, Ericsson, Nokia

22. Did this invention result from work on a development Contract? (YES) (NO) Contract No. _____

Who was the contracting party? _____

23. Discuss the business impact that this invention will have on Motorola. Be specific and quantitative.

This invention provides a means to seamlessly move the bearer and control client functions from one Radio Access Network to another. The method uses bridging functions within the Core and RAN networks allowing for simultaneous high integrity connections between the existing a future RAN components through the move operation.

24. Manager's Name (Type)

Signature

Date

Dept. No.

Phone

DETERMINATION OF LEGAL INVENTORSHIP WILL BE MADE BY THE PATENT DEPARTMENT.

Inventor's signature (IMPORTANT -YOU MUST USE YOUR FULL NAME)-NO INITIALS-

11. Inventor's Full Name: Richard James Malcolm
 Signature: *[Signature]* Date: 4/20/98
 Social Security No. & Commerce ID: 351-50-4876 10040345
 Home Address: Street 625 Paxton Place
 City Carol Stream State IL Country USA Zip Code 60188
 Citizen of (i.e. U.S., Germany, etc.) US
 Dept. No. BC568 Phone 2-6063 Mail drop & Post no. IL27 3-3c Employee Status
 Permanent ☒ Contractor
 Inventor's Immediate Supervisor Dan Tell
 Dept. No. BD996 Phone 2-5301 Social Security No. & Commerce ID 350-42-1127 10039680

Motorola Confidential Proprietary Upon Completion

Page 2--Disclosure No.

Motorola Confidential Proprietary Upon Completion

12. Inventor's Full Name: (Type) Daniel Francis Tell
 Signature: *[Signature]* Date: 5-20-88
 Social Security No. & Commerce ID: 350-42-1127 10039680
 Home Address: Street 1533 Bowling Green
 City Lake Forest State IL Country USA Zip Code 60045
 Citizen of (i.e. U.S., Germany, etc.) US
 Dept. No. BD996 Phone 2-5301 Mail drop & Post No. IL27 3-5C Employee Status
 Permanent ☒ Contractor
 Inventor's Immediate Supervisor John Thode
 Dept. No. BD908 Phone 2-5322 Social Security No. & Commerce ID 10045518


13. Inventor's Full Name: (Type) Paul Daniel Steinberg
 Signature: *[Signature]* Date: *[Date]*
 Social Security No. & Commerce ID: 323-42-5257
 Home Address: Street 1200 Keim Trail
 City Bartlett State IL Country USA Zip Code 60103
 Citizen of (i.e. U.S., Germany, etc.) USA
 Dept. No. BC573 Phone 2-5867 Mail drop & Post no. IL27 3N9 Employee Status
 Permanent ☒ Contractor
 Inventor's Immediate Supervisor John Cipolla
 Dept. No. BC573 Phone 2-5283 Social Security No. & Commerce ID 10041815

Inventor's Full Name: (Type) Brian Jack Moore
 Signature: *[Signature]* Date: 5/20/87
 Social Security No. & Commerce ID: 336-42-6399
 Home Address: Street 718 Bon Aire Drive
 City Palatine State IL Country USA Zip Code 60067
 Citizen of (i.e. U.S., Germany, etc.) USA
 Dept. No. BD537 Phone 2-5266 Mail drop & Post no. IL27 AR3223 Employee Status
 Permanent ☒ Contractor
 Inventor's Immediate Supervisor Don Benkeser
 Dept. No. BD537 Phone 5-0137 Social Security No. & Commerce ID 316-54-3649

Inventor's Full Name: (Type)		Signature	Date	Social Security No. & Commerce ID	
John M. Sauer		<i>John M. Sauer</i>	<i>5/12/88</i>	312666792	
Home Address: Street		City	State	Country	Zip Code
1066 Augustana Drive		Naperville	IL	USA	60565
Citizen of (i.e. U.S., Germany, etc.)	Dept. No.	Phone	Mail drop & Post no.	Employee Status	
USA	BC588	2-5707	IL-27		
Inventor's Immediate Supervisor		Dept. No.	Phone	Permanent_ X _ Contractor_	
Bill Payne		BC279	5-5154	510-46-2151	
Inventor's Full Name: (Type)		Signature	Date	Social Security No. & Commerce ID	
Stephen Lee Spear				344-38-0983	
Home Address: Street		City	State	Country	Zip Code
25 Williamsburg		Skokie	IL	USA	60203
Citizen of (i.e. U.S., Germany, etc.)	Dept. No.	Phone	Mail drop & Post no.	Employee Status	
USA	BC597	2-5251	AR3205		
Inventor's Immediate Supervisor		Dept. No.	Phone	Permanent_ X _ Contractor_	
Jerry Campbell		BC597	2-2162	510-46-2151	

DETERMINATION OF LEGAL INVENTORSHIP WILL BE MADE BY THE PATENT DEPARTMENT.

Inventor's signature (IMPORTANT -YOU MUST USE YOUR FULL NAME)--NO INITIALS--

11. Inventor's Full Name: Richard E. White Signature  Date 05/20/00 Social Security No. & Commerce ID 178-44-0863

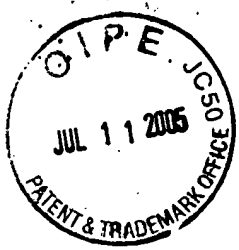
Home Address: Street 980 Milford St City Cary State IL Country USA Zip Code 60013

Citizen of (i.e. U.S., Germany, etc.) USA Dept. No. BC279 Phone 5-0235 Mail drop & Post no. IL27 2A8 Employee Status

Inventor's Immediate Supervisor Bill Payne Dept. No. BC279 Phone 5-5155 Social Security No. & Commerce ID 510-46-2151 Permanent ☒ Contractor

Motorola Confidential Proprietary Upon Completion

Page 2--Disclosure No. Motorola Confidential Proprietary Upon Completion



Disclosure for Patent Committee

1. Name of the invention

Seamless High Integrity Radio Access Client Handoff in a Wireless Network

2. State the problem(s) solved by the invention

When a Mobile Termination device accesses the network requesting a desired service, a set of resources and path connections (Control and Bearer) are established within the Core and RAN network to support the requested service. This initial call configuration is assumed to be the optimum call configuration, given the state of the networks at the time of access. But, the dynamics of the RF environment along the mobility of the Mobile Terminating device, this initial call configuration may quickly become sub-optimum.

Functions within the RAN exist to optimize the RF paths. These RF path optimizations result in the establishment or removal of RAN based resources along with their associated control and bearer paths. As the Mobile Terminating device moves throughout the system, the crossing of RAN and CORE boundaries is inevitable. RAN boundary crossings are addressed within the Aerolon network via RAN to RAN interfaces. These interfaces allow Mobile Termination Device services to be provided across the boundaries. In general these interfaces allow for the allocation of BTS and RF resources along with a path for control messaging and bearer traffic delivery. But the support of calls across these interfaces may become sub-optimum over time. The control and bearer traffic paths may be over extended thus introducing unacceptable control latency and bearer traffic delays (including differential delays).

Typically, Radio Access Call Control and Bearer Path Management is centralized at a point within the RAN, referred to in general terms further as the RAN Session Client (RSC). (In particular to CDMA (Wide Band CDMA) the Selector Distribution Unit (SDU) and Radio Network Control Servers (RNCS) are instantiations of an RSC. Critical in maintaining an optimum call configuration is the location of the RSC. The RSC placement is critical, since the RSC serves as the termination point for the Core and BTS Bearer Path along with RAN Call Control. Locating the RSC to minimize bearer traffic delays and control latency is a crucial aspect of an optimum call configuration.

It is therefore beneficial from a Call Quality perspective to transfer the RSC from one physical point to another within the RAN Network.

Moving the physical location of the RSC is currently supported within some networks via intrusive manners. These procedure generally break and re-establish both Core and Radio connections, such as CDMA Hard Handoff. This not only impacts the quality of a given call but requires undesired interaction between the Core Network and MS on RAN boundary limitations. In addition, any modification to the Core and MS connections brings the potential for a failed connection.

Inventor [Signature] Date 5/10/99 Witness [Signature] Date 20 May 99

Inventor [Signature] Date 5-20-99 Witness [Signature] Date 20 May 99

Inventor [Signature] Date 5/10/99

[Signature] 5/21/99
[Signature] 5/20/99

Disclosure for Patent Committee

April 29, 1999

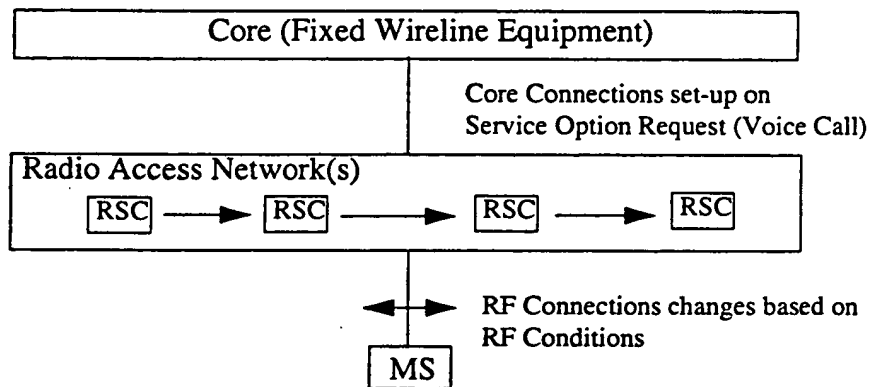
[Signature] 5/21/99 [Signature] 5/21/99
[Signature] 5/20/99

A method needs to be provided which provides a seamless RSC reallocation and which preserves the integrity of the call and connects.

3. Describe the invention, including its operation, purpose and environment.

The following invention specifies a method of moving the RSC within and across RANs in a seamless manner with high integrity. Figure 1, "Seamless RSC Handoff Illustration," on page 2 depicts the objective of this invention. The figure highlights the Fixed Equipment in the Core network and MS's connections unaffected by RSC reallocation within the Radio Access Network(s).

FIGURE 1. Seamless RSC Handoff Illustration



In order provide for a seamless RSC handoff, two parallel paths from the BTS(s) and Core network are created. These connections involve two RSCs with the parallel paths supported via a RANS and Core "Y" bridging function. The "Y" bridging functions serve to provide for un-interrupted Bearer and Control for a given call session through an RSC handoff.

Figure 2, "System Bridging Functionality," on page 3 illustrates a Seamless High Integrity RSC handoff. The execution of such a procedure required the introduction of multiple bridging functions. The first bridging function, identified as the Core "Y" (a.k.a., Relay Client in Aerolon) provides a fixed termination point for fixed core based equipment (e.g., Circuit Gateway). Typically, these paths are formed at initial system access of the MSs. The Core "Y" provides bridging functions between the RSCs during the transitioning process. Further, the bridging function will allow for the integrity of the connection between the Core and new RSC prior to the bearer and control handoff within the RSC.

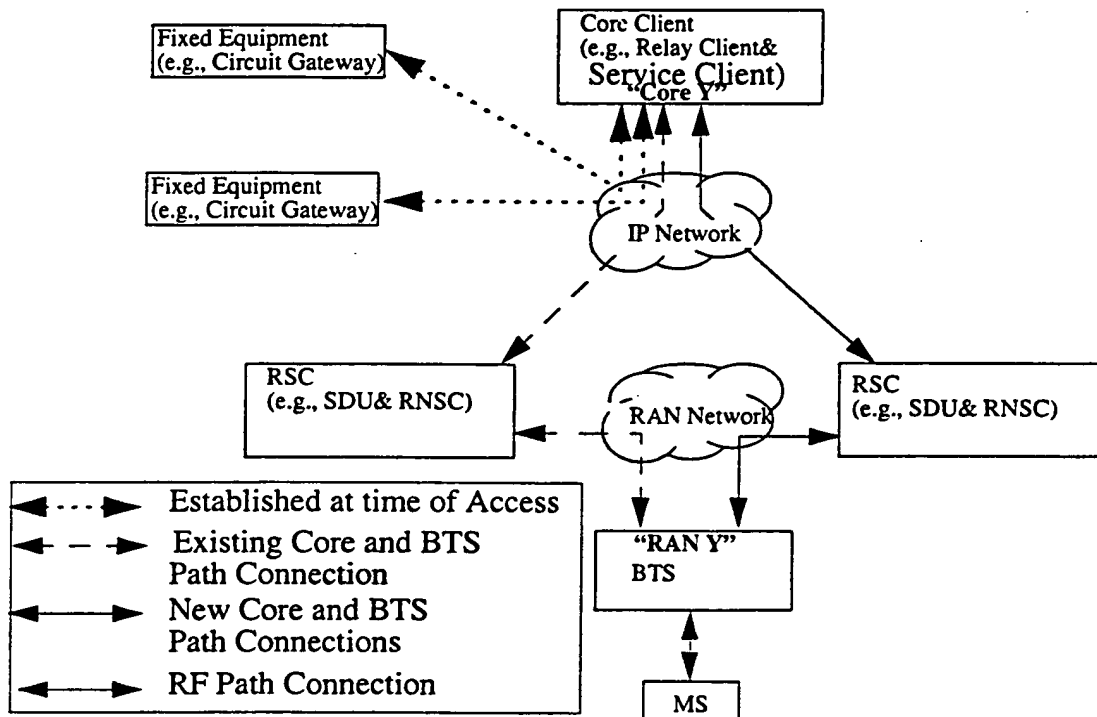
A RAN "Y" function is also required to shield the MS from the RSC transition. This RAN "Y" function supports the bridging and selection of control and bearer traffic from multiple RSCs. As with the Core "Y" functionality, the bridging function allows for the integrity check of the connections between the new RSC and BTS prior to the bearer and control handoff within the RSC. Typically, the multiple RAN "Y" connections will be established, since multiple BTSs are involved in a given call (CDMA Soft Handoff).

Inventor William D. Pin Date 5/10/99 Witness Sam D. Lee Date 20 May 99
 Inventor Sam D. Lee Date 5-20-99 Witness Sam D. Lee Date 20 May 99
 Inventor P.D. O'Neil Date 5/20/99
N. J. Lee 5/21/99
Sam D. Lee 5/20/99
Sam D. Lee 5/20/99
Sam D. Lee 5/21/99
Sam D. Lee 5/20/99
Sam D. Lee 5/21/99

In most cases (e.g., CDMA), handing off of the RSCs involved the preservation of critical data of the Core and MSs. As an example, in a CDMA RSC handoff, the State of the MSs RF Layer 2 State information must be preserved. The relaying of this information between the two RSCs along with the coordination of the handoff would occur via either the Core "Y" or RAN "Y" function.

When all required information is obtained by the new RSC and Core and BTS paths are validated, the new RSC will take-over the control and bearer processing for the call. The old RSC connection will remain providing a graceful fallback in the case of a RSC handoff failure.

FIGURE 2. System Bridging Functionality



The following set of illustrations depict the "Seamless High Integrity Radio Access Client Hand-off" in the context of CDMA.

Figure 3, "Intra-Core Streamline T=0," on page 5 illustrates a CDMA Call involved in an Inter-RAN soft handoff. CORE-1 and RAN-1 support the termination of the Core End-Points for a given Voice Call (Note multiple Core end-point may be involved). The Core network supports the Relay Client and the Service Client. Within RAN-1, the RNCS-1-1 supports the call control along with the selection function. The BTSs involved in the call are not contained within RAN-1 but are supported via bearer and control backhaul through the Inter-Vendor Soft Handoff (IV-SHO) inter-connect. At this time, a SDU/RNCS handoff (RSC Handoff) is desired.

Figure 4, "Intra-Core Streamline T=1-Establish RAN Connections," on page 6 illustrates the establishment of the SDU to BTS connection. An SDU is assigned in RAN-2 along with the BTS

Inventor Will D. Kim Date 5/16/99 Witness [Signature] Date 20 May 99
 Inventor [Signature] Date 5-20-99 Witness [Signature] Date 20 May 99
 Inventor [Signature] Date 5/2/99
[Signature] 5/20/99

[Signature] 5/10/99 [Signature] 5/11/99

connections to the current serving BTSs. This function requires the RAN "Y" in the BTS. Continuation of the procedure will not occur until the new SDU to BTS(s) path integrity is assured. RAN to RAN control communications are used to initiate and coordinate the new RAN configuration.

Figure 5, "Intra-Core Streamline T=2-Establish Core Connections," on page 7 illustrates the establishment of the Core Network. An interaction will take place between the Core networks to establish a Path to the new SDU. The Relay Client establishes a Core "Y" bridging functions allowing for the new SDU to verify its path connection integrity with the Relay Client. Continuation of the procedure will not occur until the new SDU to Relay Client path integrity is assured. At this time, the Core "Y" and RAN "Y" connections are established and the handing off of control and bearer management can be performed.

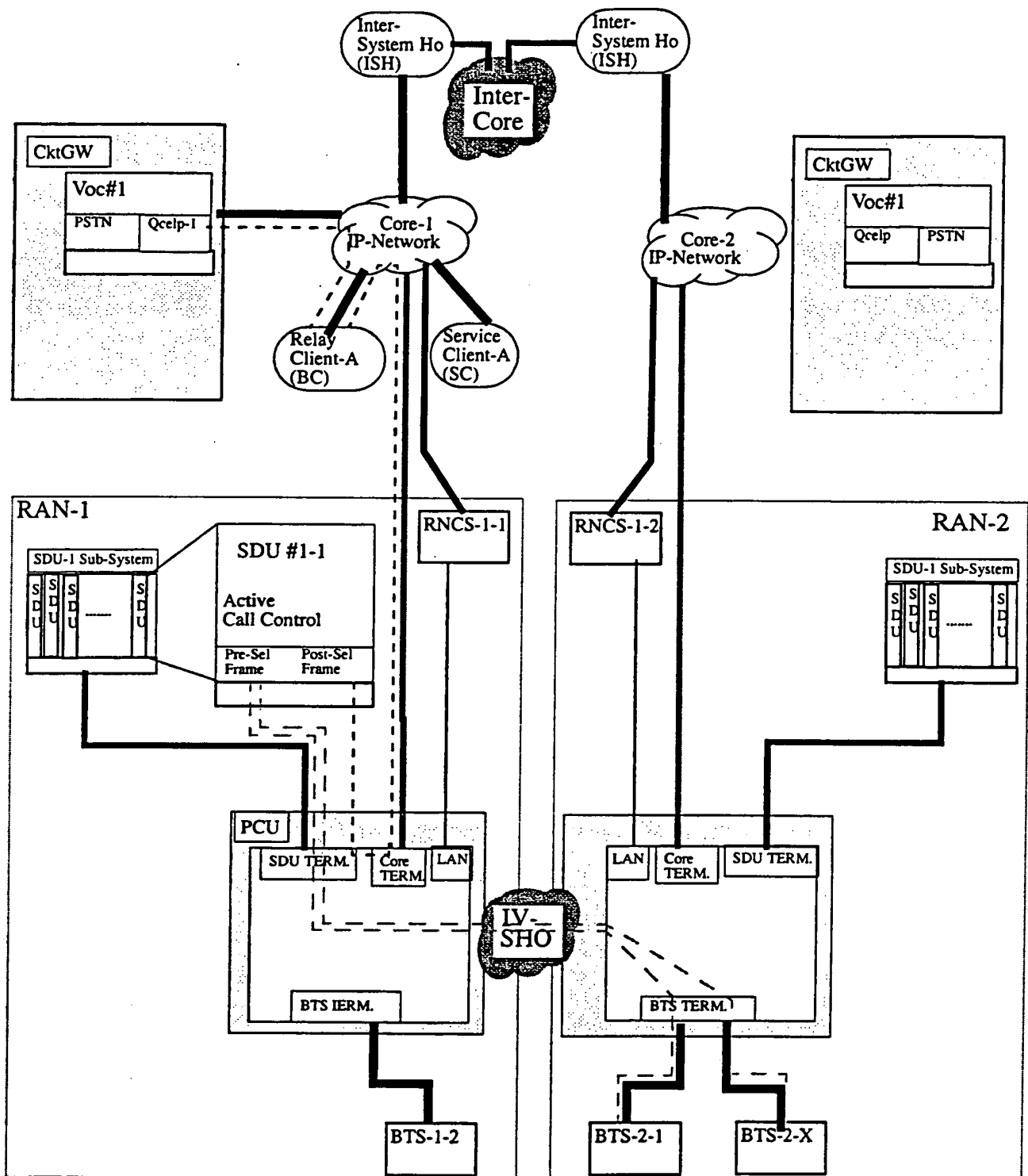
Figure 6, "Intra-Core Streamline T=3-Execute Handoffs," on page 8 illustrates the interaction between the RANs to obtain control information. It is expected to minimize latency that the required call data would be exchanged via the bearer path. The used of either the Core "Y" or RAN "Y" provides a channel between the two SDUs for control data exchange. Once the required data is obtained by the new SDU, the new SDU will take control of the call. The old SDU and its associated connections will remain as a fallback configuration.

Figure 7, "Intra-Core Streamline T=4-Tear-Down," on page 9 illustrates the teardown of the initial connections. This would be performed on the successful completion of the SDU handoff.

Inventor Will D. Kim Date 5/20/99 Witness Joseph Padgett Date 20 May 99
Inventor James Kim Date 5-20-99 Witness Joseph Padgett Date 20 May 99
Inventor Y. D. Kim Date 5/2/99
Kim 5/2/99
Kim 5/20/99
Disclosure for Patent Committee April 29, 1999
James Kim 5/2/99 Joseph Padgett 5/2/99
Kim 5/2/99

FIGURE 3.

Intra-Core Streamline T=0



Inventor William D. R. Date 5/20/99 Witness Lawrence Date 20 May-99

Inventor Charles J. R. Date 5/20/99 Witness Donald M. Wick Date 20 May 99

Inventor P. D. R. Date 5/21/99

Phil Jones 5/21/99
Paul Jones 5/20/99

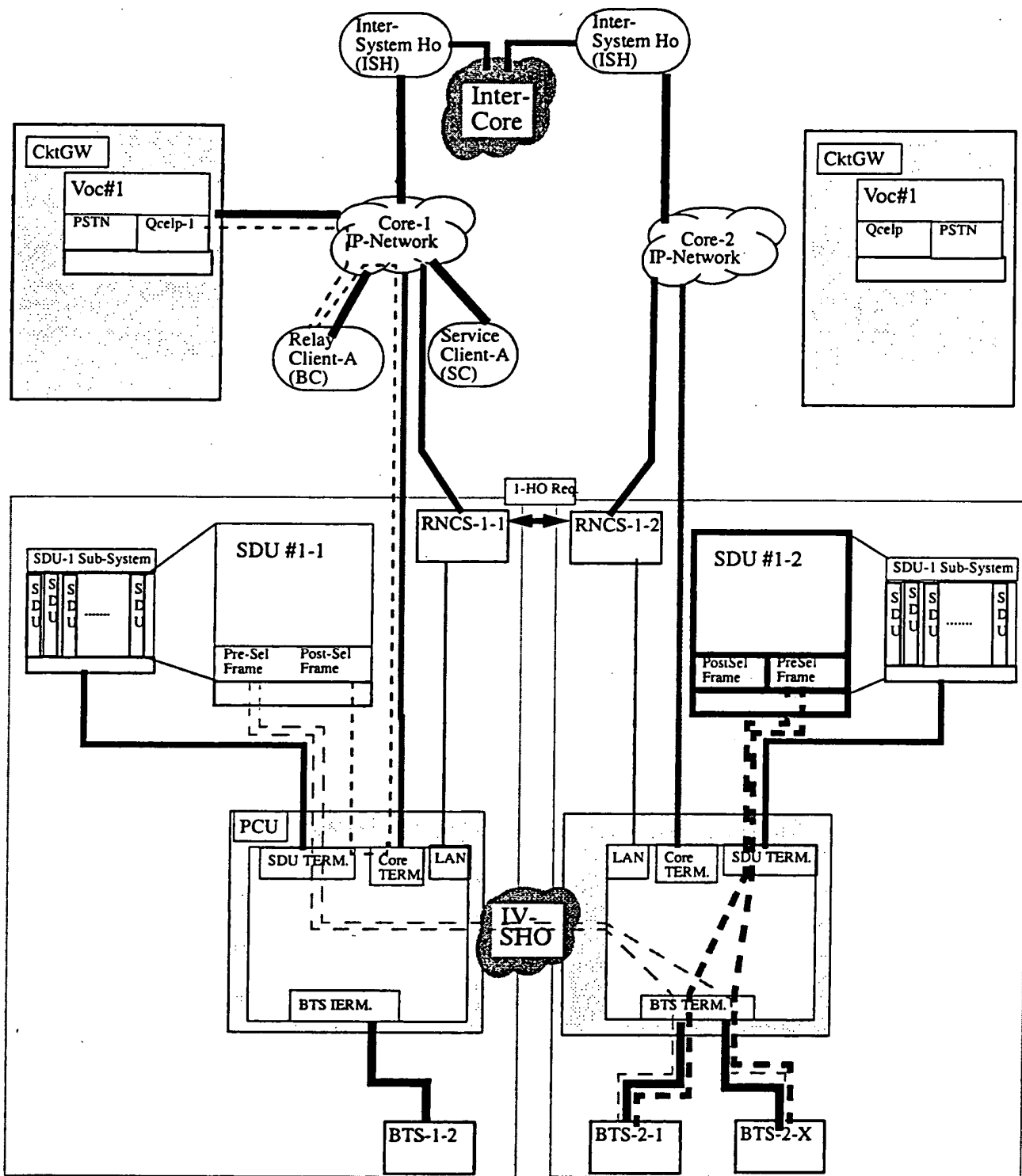
Disclosure for Patent Committee

April 29, 1999

James 5/20/99 James R. R. 5/21/99
Richard F. R. 5/20/99

FIGURE 4.

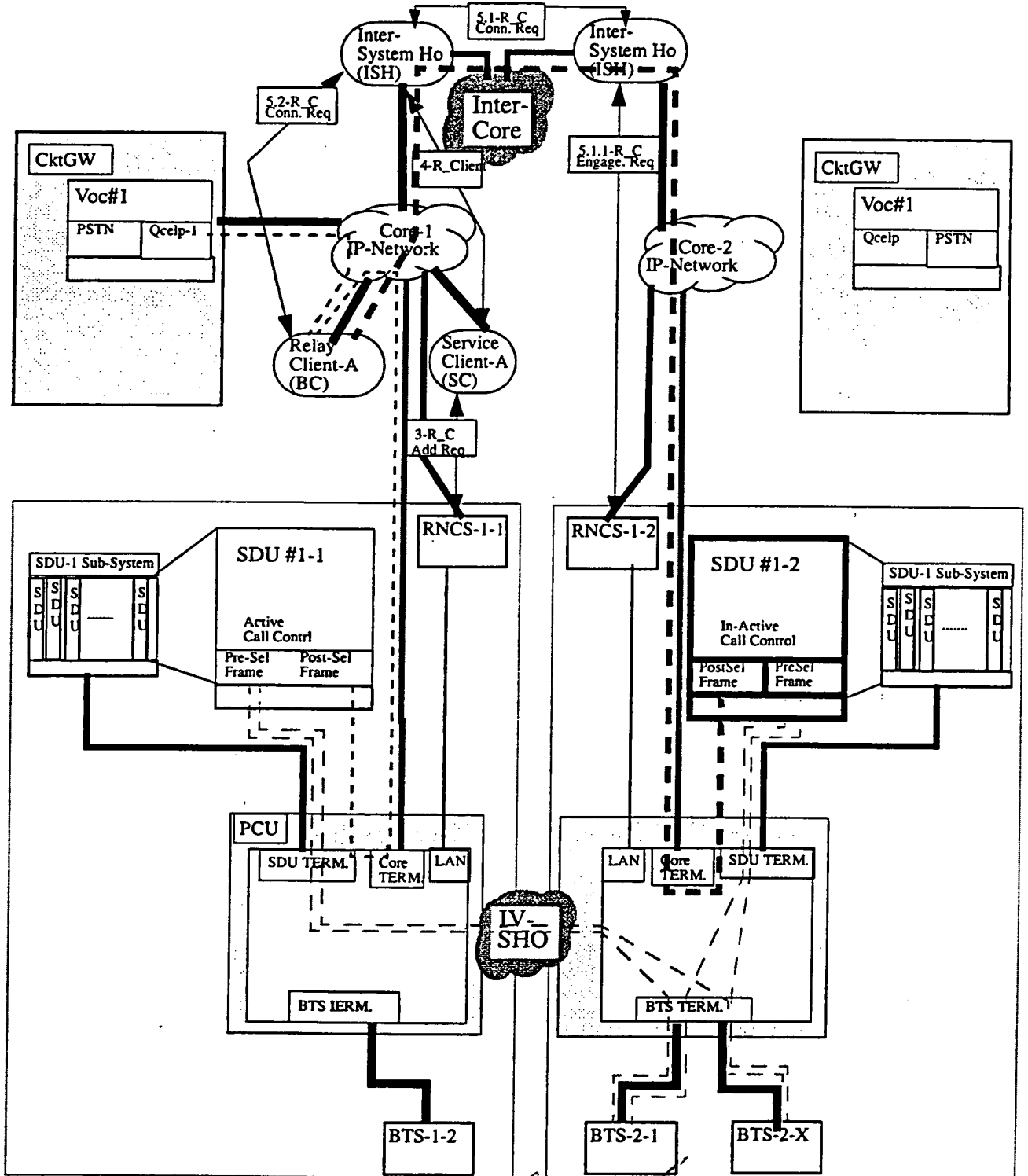
Intra-Core Streamline T=1-Establish RAN Connections



Inventor John D. Bue Date 5/24/99 Witness John D. Bue Date 20 May 99
 Inventor John D. Bue Date 5/24/99 Witness John D. Bue Date 20 May 99
 Inventor John D. Bue Date 5/24/99
 7/2/99
 5/20/99
 5/20/99
 Disclosure for Patent Committee April 29, 1999
 5/20/99
 5/21/99

FIGURE 5.

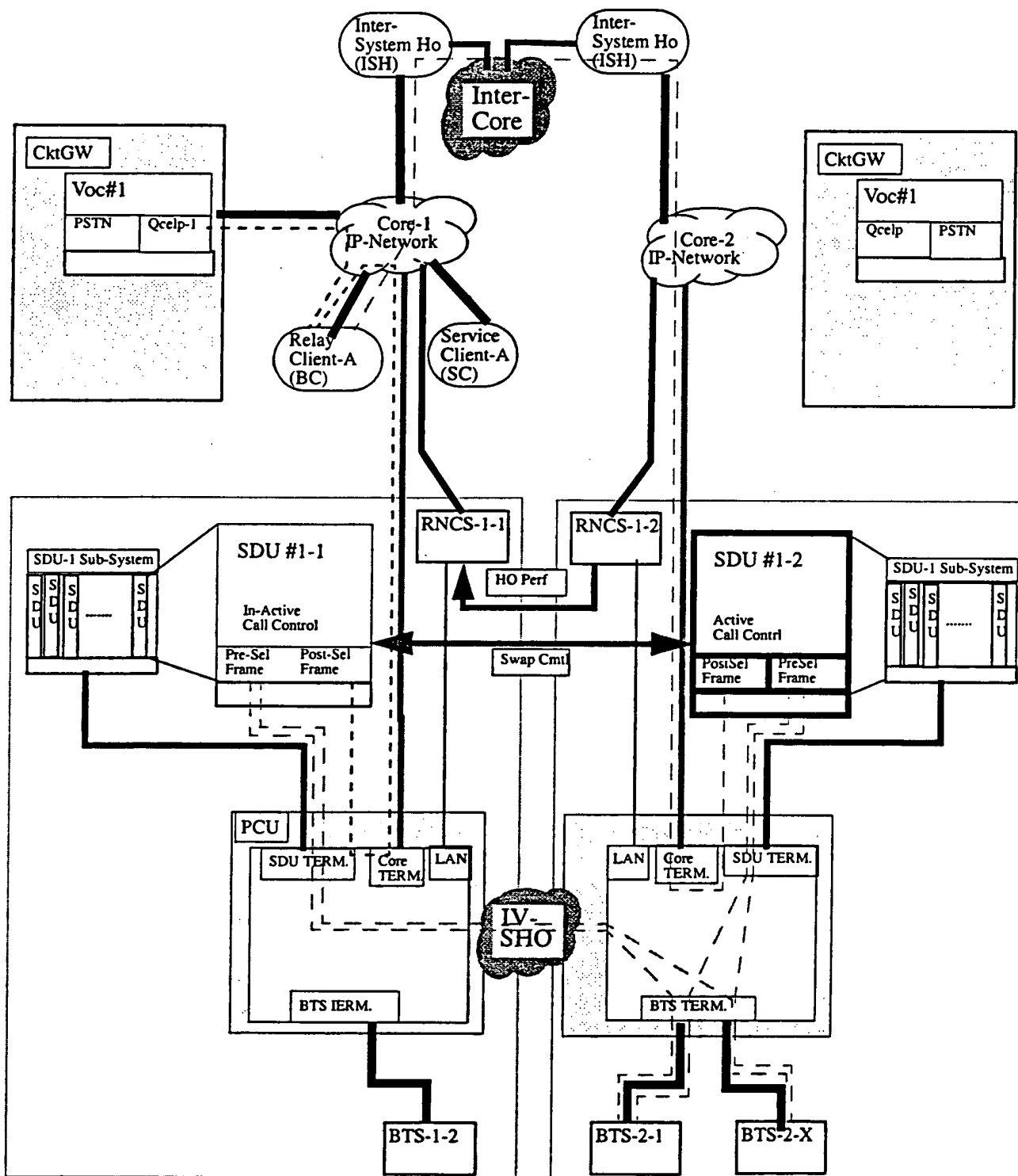
Intra-Core Streamline T-2-Establish Core Connections



Inventor Arthur J. Pao Date 5/20/99 Witness Sam J. Chen Date 20 May 99
 Inventor Sam J. Chen Date 5/20/99 Witness David J. Pao Date 20 May 99
 Inventor David J. Pao Date 5/20/99
 Disclosures for Patent Committee
 April 29, 1999
 5/20/99
 5/20/99
 5/20/99
 5/20/99

FIGURE 6.

Intra-Core Streamline T=3-Execute Handoffs



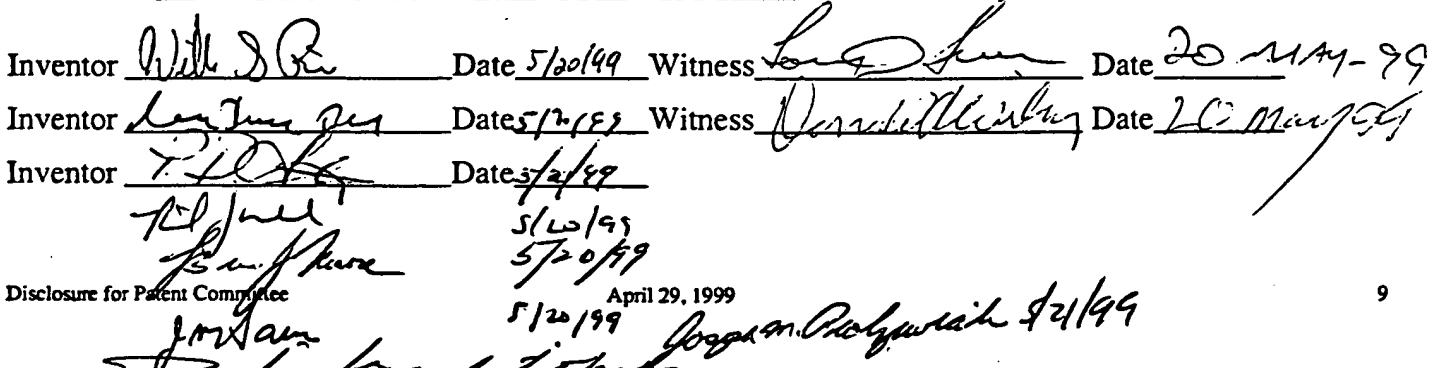
Inventor William S. B... Date 5/20/99 Witness Ken... Date 20 May-99

Inventor ... Date 5/20/99 Witness ... Date 20 May 99

Inventor ... Date 5/20/99

... 5/20/99
... 5/20/99
 Disclosure for Patent Committee April 29, 1999
... 5/20/99 ... 5/20/99
... 5/20/99

Intra-Core Streamline T=4 Tear-Down



4. List the closest known technology (attach article, patent, catalog sheet or other documentation).

Three Party Conference based applications.

Inter-System Soft handoff connectivity disclosures.

5. Improvement(s) over known technology.

Current methods for performing moves to new RAN components are intrusive to the Core and Mobile Station. These are usually performed in a manner where Core and Radio connections must be broken and then re-established. In many cases, the integrity of the new connections is unknown until primary control and bearer traffic is relinquished to the new RAN components. While the original connections remain for procedure failure reasons, the fallback to these connections are typically slow thus degrading the call quality. Through the disclosed method, in particular the introduction of the Core "Y" and RAN "Y" functions, the Core fixed based components and RF connections are unchanged (e.g., No CDMA Hard Handoff) through the movement to new RAN based components. The method allows for an integrity check prior to relinquishing control to the new components thus preserving the call quality through the component handoff.

6. What new elements (e.g. components, circuits, process steps) or combination of known elements or software algorithm produced the improvement?

The invention introduces a set of key functional elements, enabled by the Aerolon network architecture, which used in combination provide for the seamless high integrity handoff of RAN based components.

- Introduction of a Relay Client (Core or RAN based) which serves as the Core "Y" function. Enabling the termination and selection of multiple RAN bearer paths.
- Introduction of a RAN "Y" function which provides the BTS to support multiple bearer and control paths to SDUs (RSCs).
- Selective Connection integrity checks within the Core "Y" and RAN "Y" functions allowing for path integrity validation prior to activation of control and bearer swap.
- SDU to SDU connections via either Core "Y" or RAN "Y" to forward critical dynamic call configuration and state (e.g., RF Layer 2 State, High Speed Data State: PCF, RLP and Bearer Client State) and coordination of the relinquishing of call control and bearer traffic processing.

Inventor W. S. B. Date 5/20/99 Witness L. S. B. Date 20 May 99
Inventor W. S. B. Date 5/20/99 Witness L. S. B. Date 20 May 99
Inventor W. S. B. Date 5/20/99
W. S. B. 5/20/99
Disclosure for Patent Committee April 29, 1999
W. S. B. 5/20/99 Joseph M. Rodriguez 5/21/99